(More) Reductions Among Combinatorial Problems

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1 Abstract

This paper is an extensive exploration of Karp's "Reducibility Among Combinatorial Problems". We present 20 novel reductions among his original 21 NP-Complete problems. Furthermore, we disambiguate and provide proofs for his original reductions. Finally, we provide minor corrections to his reductions. *Keywords: NP-Complete, Reducibility, Reductions*

2 Introduction

The class of known NP Complete problems has expanded greatly since its introduction in the early 1970's. This has given us a structure on the NP problems, providing formal verification that the NP Complete problem are related either by the challenge they present to solve or by their intractability. The first such case is P = NP, and the second represents P $\subset$ NP, a result that follows from Cook's "The Complexity of Theorem-Proving Procedures" [1].

From "The Complexity of Theorem-Proving Procedures", we gain an extraordinary result concerning Satisfiability: any problem which may be decided by a non-deterministic turning machine in polynomial time has a polynomial reduction via a deterministic Turing machine to the Satisfiability problem [1]. Cook shows that for any arbitrary NP problem M, we may bound the reduction from M to SAT in a way that is related the complexity of solving M on a nondeterministic machine. By construction of the class NP M must have polynomial complexity.

Generally speaking, reductions represent a way to quickly convert between an instance of one problem into another in a way that preserves acceptance or rejection of input. We define quickly as being polynomial. While an efficient programmer would be weary of adding unnecessarily nested code, we generalize quickness to mean there is a fixed number of nested algorithms- that we have a run time that is polynomial in our input. Reductions give us a way to formalize our intuition that certain problems are at least as hard as other problems, and provide some sense of ordering among decision problems from their transitivity. We may use that idea to define complexity classes, although we acknowledge that other constructions for complexity, such as restricting to linear in input reductions, may be valuable. [2].

Using reductions, Karp constructed the NP-Complete class as a set of problems known to be reducible from Satisfiability. This has extraordinary consequences: from Cook, any problem in NP is polynomial reducible to SAT [1]. In "Reducibility Among Combinatorial Problems", Karp presents 20 additional problems which, through the transitivity of polynomial reductions, may fill the role of SAT in the Cook thesis [3].

The expansion of the NP-Complete class has provided new canvas for researchers working on $P = NP$, formalized difficulty of algorithms for software engineers, and increased the trust in systems that assume $P \neq NP$.

In our work, we consider only the original 21 NP-Complete problems, and further reductions among these problems. Included in this is a brief discussion of Cook and Karp's landmark work, and further reductions among Karp's original NP-Complete problems. Finally, we present disambiguation for the original reductions presented by Karp.

3 Related Work

3.1 Reducibility among Combinatorial Problems by Richard M. Karp

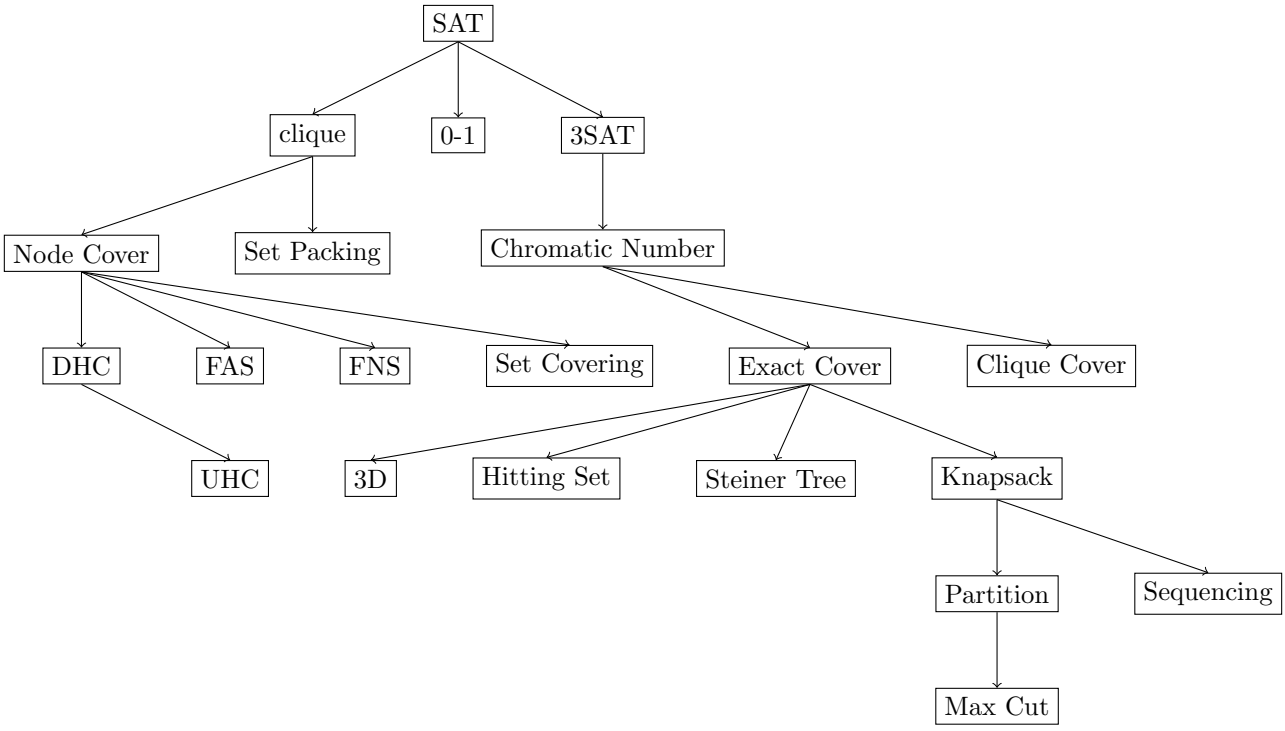

Figure 1: Karp's Tree

Our Summer research project was based on the paper "Reducibility among Combinatorial Problems" published by Richard Karp[3]. In his paper he shows a group of 21 problems to be NP-complete, by creating a tree of reductions which starts from Satisfiability. We outline the major sections of Karp's paper below.

Karp introduces a general model of computation, deterministic algorithms, but quickly refines his model to be terminating recognition algorithms. That is, algorithms which have finite computations and result in either "ACCEPT" or "REJECT". Karp introduces string recognition algorithms

as recognition algorithms with the domain {0, 1}*. Classes of string recognition algorithms are a significant backbone for complexity theory, and introduce the idea of "equivalent" models of computation. Karp defines the class P to be those languages recognizable by single tape Turing Machines in polynomial time, and notes that this class is equivalent to the class recognized by multihead or multitape Turing Machines in polynomial time. The famous P vs. NP problem asks whether P is additionally equivalent to the class NP, problems which are recognizable in polynomial time on a nondeterministic Turing Machine. In a later section, Karp provides some surprising members of the class P: 2SAT, min. Spanning tree, shortest path, min. Cut, arc cover, arc deletion, 2d matching, sequencing with deadlines, solvability of linear equations. The simplicity of these problems and not their NP Complete relatives is shocking!

To define reducibility, Karp uses the notion of polynomial functions from Σ^* to Σ^*. The class of such functions is Π, and a language L is defined to be reducible to M exactly when there is an element in Π which transfers input in L to input in M and preserves acceptance and rejection. Of course, Karp assumes that languages are defined exactly over Σ^* here, but that requirement is later relaxed.

The class NP is defined in two distinct ways: The first uses existential quantification. The second is: NP problems are those recognizable by nondeterministic Turing Machines in polynomial time. Finally Karp introduces Cook's result on the class of NP problems, although notably the version of satisfiability used in Karp's work is CNF tautologies, equivalent to DNF tautologies as Cook pointed out via quick work with De Morgan's Laws.

The complete class is defined to be the set of languages which are both in NP and at least as hard as satisfiability. Because of Cook's result, this is equivalent to the class of languages in NP that are at least as hard as any other problem in NP. Although originally stated in terms of functions on $\Sigma^* \to \Sigma^*$, Karp extends the idea of reducibility to encompass functions between arbitrary countable domains. In order to retain the polynomial nature of the reduction, Karp requires that the function $F : D \to D'$: i) Preserves string acceptance ii) Is equivalent to a polynomial function when F composed with the encoding functions for the domain and the inverse encoding function for the codomain is defined. In the reductions provided, Karp does not give encoding functions or functions in Π. Instead, Karp uses his equivalent characterization to provide reductions between domains and codomains for each problem, and allows the reader to check axioms (i) and (ii). In our work, we have further verified axiom (i) for the reader. Karp provides a tree of reductions (figure –) to show that each problem in the tree is reducible from SAT, and consequently may play the role of SAT in Cook's theorem. We have that the problems listed are equivalent, as defined via reducibility, since each problem also reduces to SAT from Cook.

After listing his 20 NP Complete problems, Karp proceeds to list and prove that a certain class of problems are at least as hard as every NP Complete problem. Now these problems are considered "NP Hard". Karp introduces "Equivalence of Regular Expressions" (1), "Equivalence of Nondeterministic Finite Automata" (2), and "Context-Sensitive Recognition" (3). He then shows that 3SAT reduces to (1) which reduces to (2), and in addition that any NP problem will reduce to (3).

Karp concludes his paper by listing three problems suspected to be NP Complete. These problems were Graph Isomorphism, Nonprimes, and Linear Inequalities. We now know that Nonprimes

is not NP Complete [4], although thankfully we still struggle to find the factors of such Nonprimes efficiently.

3.2 The Complexity of Theorem-Proving Procedures

[1] Cook's 1971 paper established the now famous result that for any problem L in NP, $L \leq_P$ SATISFIABILITY. We provide his result and a close rendition of the proof supplied below.

Theorem 3.1. *For any problem $L \in NP$, $L \leq_P$ Satisfiability in Disjunctive Normal Form (DNF-SAT).*

Proof. Proof. First note that Satisfiability in Conjunctive Normal Form (CNF-SAT) and DNF-SAT are equivalent under the relation of p-reducibility, since an instance of one may be converted to an instance of the other using De Morgan's Laws. We proceed to show that NP problems are reducible to CNF-SAT. Let L be a problem in NP. Since L is in NP, $\exists Q \in \pi$ so that a non-deterministic machine M decides L within Q(n) time. Let w be an input for M. We seek to construct a set of clauses from w which are satisfiable if and only if w is accepted by M.

Because Q(n) bounds our computation on a nondeterministic machine, we seek a computation of length $\leq Q(|w|)$ in both time and number of squares. We will employ this fact in our construction.

Let $\{\sigma_1, \sigma_2, \cdots, \sigma_l\}$ be the tape alphabet for M, and $\{q_1, q_2, \cdots, q_s\}$ be the states. Let $\{x_i\}$ be defined as follows:

$$\begin{aligned}\{x_i\} =&\{P^i_{s,t} \mid 1 \leq i \leq l \wedge 1 \leq s,t \leq Q(|w|)\}\\ &\cup\{Q^i_t \mid 1 \leq i \leq s \wedge 1 \leq t \leq Q(|w|)\}\\ &\cup\{S_{s,t} \mid 1 \leq s,t \leq Q(|w|)\}\end{aligned}$$

We construct the following clauses for CNF-SAT:

$$C_1 = \bigwedge_{1\leq t\leq Q(|w|)} \left(\left(\bigvee_{1\leq i\leq Q(|w|)} S_{i,t}\right) \wedge \left(\bigwedge_{1\leq i<j\leq Q(|w|)} (= \neg S_{i,t} \vee \neg S_{j,t}\right)\right)$$

Here C_1 guarantees that for each time t, exactly one square is scanned. We are gauranteed existence from the first sub-clause in each D_t, and uniqueness from the second. We proceed:

$$C_2 = \bigwedge_{1\leq s,t\leq Q(|w|)} \left(\left(\bigvee_{1\leq i\leq l} P^i_{s,t}\right) \wedge \left(\bigwedge_{1\leq i<j\leq l} \neg P^i_{s,t} \vee \neg P^j_{s,t}\right)\right)$$

C_2 gaurantees that for each square s and time t, there is exactly one symbol.

$$C_3 = \bigwedge_{1\leq t\leq Q(|w|)} \left(\left(\bigvee_{1\leq i\leq s} Q^i_t\right) \wedge \left(\bigwedge_{1\leq i<j\leq s} \neg Q^i_t \vee \neg Q^j_t\right)\right)$$

C_3 gives us that for each time t, there is exactly one state.

$$C_4 = Q_1^{\cdot} \wedge S_{1,1} \wedge P_{1,1}^{i_1} \wedge \cdots \wedge |w|, 1^{i_{|w|}} \wedge P_{|w|,1}^{1} \wedge \cdots \wedge P_{Q(|w|),1}^{q}$$
$$\text{Given that w } = \sigma_{i_1} \cdots \sigma_{i_{|w|}} \text{ and } \sigma_1 \text{ is the blank symbol}$$

C_4 verifies that the computation contains the correct initial conditions.

C_5, C_6, and C_7 are constructed according to the transition function for the machine. C_5 is responsible for ensuring that at each time transition, the symbols update appropriately. C_6 ensures that the states update according to δ, and C_7 ensures that square (head position) is updated appropriately.

C_8 verifies that an accepting state is reached by the machine at some point. Cook's final specification is that we modify the machine to continue computing after the accept state so that $C_1 \wedge C_2 \wedge \cdots \wedge C_8$ is satisfied for an accepting computation. The reader is left to verify the complexity. □

In addition to his strong result, Cook discusses limitations on complexity theory and suggests models to formalize complexity classes for theorem proving procedures. Cook further defines degree of difficulty from the idea of polynomial reducibility. The consequences of Cook's paper extends far beyond his result on SAT reducibility; Cook inspired theoretical computer scientists for generations to come, including Karp who references Cook's paper as a source of inspiration, and introduced new formalisms to investigate complexity. Finally, Cook suggests that future work center around showing that tautologies is not in P, which of course has been given considerable attention and remains an open question.

4 Our Reductions

Theorem 4.1. *The following table displays NP problems (left) which are reducible to NP problems (right) via a single tape turing machine in polynomial time:*

3D Matching	$\leq_P$	Clique
Undirected Hamiltonian Circuit	$\leq_P$	Directed Hamiltonian Circuit
Feedback Arc Set	$\leq_P$	Set Covering
Set Packing	$\leq_P$	Node Cover
Exact Cover	$\leq_P$	Undirected Hamiltonian Circuit
Hitting Set	$\leq_P$	Steiner Tree
Satisfiability	$\leq_P$	Steiner Tree
Knapsack	$\leq_P$	0-1 Integer Programming
Clique Cover	$\leq_P$	Chromatic Number
Feedback Arc Set	$\leq_P$	Feedback Node Set
Set Covering	$\leq_P$	Steiner Tree
Hitting Set	$\leq_P$	Exact Cover
Hitting Set	$\leq_P$	Clique
Set Packing	$\leq_P$	Clique
Exact Cover	$\leq_P$	Clique
Feedback Node Set	$\leq_P$	Set Covering
Exact Cover	$\leq_P$	Satisfiability
Hitting Set	$\leq_P$	Satisfiability
Satisfiability	$\leq_P$	Knapsack
Undirected Hamiltonian Circuit	$\leq_P$	Hitting Set

4.1 3D Matching $\leq_P$ Clique

Details WLOG assume that U is enumerated by $\{1, ..., n\}$. Let V = $\{1, ..., n\}$ and E = $\{\{i, j\} \mid i, j$ do not overlap in a coordinate.$\}$ Let k = $|T|$.
Given a solution for clique, use the exact same elements in your solution for 3D matching.

Theorem 4.2. *There is a solution for our instance of clique $\iff$ there is a solution for the given instance of 3D matching.*

Proof. First note that as there are $\frac{n(n-1)}{2}$ pairings to iterate over when forming E, and for each pairing at most 3 check steps, the complexity of our reduction function is polynomial. We now proceed to prove the theorem.
$\Rightarrow$ Assume there is a solution for 3D matching. In that case, the k elements in U that do not overlap in a coordinate will all be connected to each other in our graph, and thus form a solution for clique.
$\Leftarrow$ Now suppose that there is a solution for our instance of clique. In that case, $|T|$ of the elements in U do not overlap in a coordinate, so they are a solution for 3D matching. □

4.2 Undirected Hamiltonian Circuit $\leq_P$ Directed Hamiltonian Circuit

Details Given I = (N, E) in Undirected Hamiltonian Circuit, form f(I) as: N = N. E = $\{< u, v >, < v, u > | \{u, v\} \in E\}$. Given a solution S = $n_0 n_1 \cdots n_m$ for Directed Hamiltonian Circuit, use the same sequence for Undirected Hamiltonian Circuit.

Theorem 4.3. *There is a solution for an instance I of Undirected Hamiltonian Circuit $\iff$ there is a solution for f(I) in Directed Hamiltonian Circuit.*

Proof. $\Rightarrow$ Assume you have a Undirected Hamiltonian Circuit solution, $n_0 n_1 \cdots n_m$. In f(I), there is a directed edge between each $n_{i \mod m+1} \to n_{i+1 \mod m+1}$. In that case, we have a Directed Hamiltonian Circuit.
$\Leftarrow$ Now suppose you have a Directed Hamiltonian Circuit, $n_0 n_1 \cdots n_m$. Then for each edge $n_{i \mod m+1} \to n_{i+1 \mod m+1}$, $\{n_{i \mod m+1}, n_{i+1 \mod m+1}\}$ is in the original edge set. In that case, our directed cycle forms a valid undirected cycle. □

4.3 Feedback Arc $\leq_P$ Set Covering

Details Given an instance I = $(G, k \in \mathbb{N})$ of feedback arc set, we form an instance of set covering as follows: Let $\{a_1, ..., a_n\}$ be the set of cycles in our graph, and $\{e_i\}$ be the edges. Let $\{S_j\}$ = $\{a_i \mid a_i$ contains $e_j\}$, and let k = k. Given a solution, s = $\{T_h\}$ of set covering, let h(s) = S = $\{e_j \mid S_j \in \{T_h\}\}$. Note that finding cycles is known to be fast, and constructing $\{S_j\}$ as described here is of complexity $\mathcal{O}(|e_j| \sum |\{a_i\}|)$.

Theorem 4.4. *An instance I of feedback arc set has a solution exactly when f(I) has a solution in set covering.*

Proof. $\Rightarrow$ Assume that feedback arc has a solution. In that case, there is a set S of size $\leq k$ so that every cycle contains an arc in S. Now consider $\{T_h\} = \{S_j \mid e_j \in S\}$, which is of size k. Given $a \in \{a_i\}$, there must be some $e_j \in S$ contained in it, so $a \in \{T_h\}$.

$\Leftarrow$ Conversely, suppose that our instance of set covering has a solution. Consider the set of edges indexed by it to be S. Given a cycle in our graph, we must have some element in S appear in the cycle, or we would not have a proper cover. Thus we have a solution for feedback arc set of size $|S| = k$. □

4.4 Set Packing $\leq_P$ Node Cover

Details Given an instance I = $(\{S_j\}, k \in \mathbb{N})$ of set packing, let V = $\{j\}$, E = $\{\{i,k\} \mid S_i \cap S_k \neq \emptyset\}$, and k = $|\{S_j\}| - k$. Given a solution to vertex cover, S = R, h(S) is given by $\{S_j \mid j \in R^C\}$.

Theorem 4.5. *There is a solution for instance I of set packing $\iff$ there is a solution for f(I) in node cover.*

Proof. $\Rightarrow$ Assume you have a set packing solution of size k given by $\{T_h\}$. In that case, any remaining edges in your graph must be adjacent to $\{k \mid S_k \notin \{T_h\}\}$. That set has magnitude $|\{S_j\}| - k$.
$\Leftarrow$ Alternatively, assume that you have a node cover, R, of size $|\{S_j\}| - k$. Consider the set $\{S_j \mid j \notin R\}$. This set has size $|\{S_j\}| - (|\{S_j\}| - k) = k$. Furthermore, from construction no items in that set may overlap. In that case, we have a set packing solution of size k. □

4.5 Exact Cover $\leq_P$ Undirected Hamiltonian Circuit

Details Given an instance I = $\{S_j\}$ of exact cover, construct an instance f(I) of Undirected Hamiltonian Circuit as follows: Let V = $\{out - j, in - j\} \cup \{u_i \in \bigcup S_j\}$. For each S_j, let ϕ_j be a cycle on its elements. Let E = $\{\{u_i, \phi_j(u_i)\} \mid u_i \in S_j\} \cup \{\{u_i, in - j\}, \{\phi_j^m(u_i), out - j\} \mid u_i \in S_j,$ m is the degree of $\phi_j\} \cup \{\{in - j, out - k\}\}$.
Given a solution for Undirected Hamiltonian Circuit, let $\{T_h\}$ be equal to $\{S_j \mid in{-}j$ goes to some u_i in the path$\}$.

Theorem 4.6. *There is a solution for an instance I of exact cover $\iff$ there is a solution for f(I) in Undirected Hamiltonian Circuit.*

Proof. $\Rightarrow$ Assume you have an exact cover solution, $\{T_h\}$. For each $S_j \in \{T_h\}$, include $in - j \to u_{j_1} \to u_{j_2} \to \cdots \to out - j$ in the path. This is acceptable since the elements in S_j are adjacent to each other, and to the in-j, out-j nodes. Now let π be any cycle you please on the S_j, and complete the Undirected Hamiltonian Circuit by attaching $out - k$ to $in - \pi(k)$. Note that we have covered all of our elements, and reused none of our vertices.
$\Leftarrow$ Now let S = $n_0 n_1 \cdots n_m$ be a complete cycle on our path. Now consider our proposed solution, h(S). For a contradiction, suppose that we cannot cover all of the elements in $\bigcup S_j$ without overlap. In that case, for any two sets, they must have one element in common. Note that to include every element we must traverse through one of the sets containing it completely, due to our cycle restriction. But if we always have some overlap, during traversal of the cycle of elements in a set we must run into a re-used node, and thus not have a Undirected Hamiltonian Circuit. Then there must be some configuration of an exact cover. □

4.6 Hitting Set $\leq_P$ Steiner Tree

Details Given an instance $\{U_i\}$ of hitting set, we construct our instance of steiner tree as follows via f: Let k = $|\{U_j\}|$. Let V = $\{n_0\} \cup \{u_i\} \cup \{U_{ij} \mid u_i \in U_j\} \cup \{U_j\} \cup \{U_j^{balancer}\}$. Let R =

$\{n_0\} \cup \{U_j\}$. Draw the edges as: connect n_0 to each of the u_i via an edge with weight 0. For each u_i, create a chain between its U_{ij} nodes where each edge has weight 1. From the last node in that chain, connect it to each of the $\{U_j\}$ nodes which show up in the chain via edges of weight k + 1. Draw an edge between each $\{U_j\}$ and $\{U_j^{balancer}\}$ with weight -(k + 1).
Given a solution for steiner tree, construct W as the set of u_i connected to n_0.

Theorem 4.7. *There is a solution for an instance I of hitting set $\iff$ there is a solution for f(I) in steiner tree.*

Proof. $\Rightarrow$ Suppose you have a solution for hitting set, W. For each $u_i \in W$, include $\{u_i, n_0\}$ in our tree. So far, our tree has weight 0. Next, attach each $\{u_i\}$ to its entire chain, and then to the matching terminal vertices. We know the connection between the chain and the terminal vertices will have weight 0. We are left to verify that all of them are covered, and that the total weight of our included chain sections is $\leq k$. Let's address the first requirement first. Because we have a hitting set, $W \cap U_i \neq \emptyset \forall i$. That is, for each terminal vertex like U_j, it must be connected to the chain of some $u_i \in W$. Now we verify the total weight of the included chains. Assume for a contradiction that it is $> k$. In that case, by the pigeonhole principle some distinct u_i, u_j have a shared U_j in their chain. But the chains are constructed from the sets they appear in! If this were the case, we would not have a hitting set. Then we must have a solution for Steiner Tree.
$\Leftarrow$ Assume you have a solution for f(I). In that case, we verify W. First, note that for each U_i, $U_i \cap W \neq \emptyset$, since we must get to the U_i after traversing a chain attached to one of our elements. Note that we cannot access the U_i except by first traversing a chain, since you would end up with an additional unbalanced k + 1 in your weight sum trying to skip between the nodes. Furthermore, if $|U_i \cap W| > 1$ then our tree would have weight $> k$ and would not be a solution in f(I). We conclude that we have a hitting set. □

4.7 Satisfiability $\leq_P$ Steiner Tree

Details Given an instance I = $(\{C_1, ..., C_p\}, \{x_1, ..., x_r, \bar{x}_1, ..., \bar{x}_r\}$, we form an instance f(I) of Steiner Tree as:
Let k = 1. Let N = $\{n_1, n_0, x_1, ..., x_r, \bar{x}_1, ..., \bar{x}_r, x_1^{end-0}, x_1^{end-1}, ..., x_r^{end-0}, x_r^{end-1}, C_1, ..., C_p, C_1^{end}, ..., C_p^{end}\}$.
Let R = $\{n_0, n_1\} \cup \{x_i^{end}\} \cup \{C_j\}$. This is where it gets real wild folks, now we add an edge between n_0 and n_1 with weight 1. From n_1, add an edge to each x_i, $\bar{x}_i$ with weight 1. For each $x_i, \bar{x}_i$ connect them to x_i^{end-0} with weight 1. Connect x_i^{end-0} to x_i^{end-1} with weight -2. Now for each C_p, connect it with weight 1 to any literal contained in it. Furthermore, connect it with weigth -1 to C_p^{end}. This completes our construction.
Given a solution for Steiner Tree, we form a solution S for Satisfiability as the set of literals included in the path to the $\{C_j\}$ nodes.

Theorem 4.8. *There is a solution for an instance I of Satisfiability $\iff$ there is a solution for f(I) in Steiner Tree.*

Proof. $\Rightarrow$ Assume Satisfiability has a solution, S. We construct a solution for Steiner Tree: for each C_j, connect it to C_j^{end} and one of the literals in S which satisfy it. This has net weight 0. For x_i in S, include the edges between n_0 to x_i, and from x_i to x_i^{end-0}, and between x_i^{end-1}. It is quick to check that this has net weight 0. Note that the C_j will be accessible from the set of literals in S. For any unassigned literals, pick either path from n_1 to the end-0, and then to end-1. This has net weight 0. Finally, include the edge between n_0 and n_1 for a net weight of 1. The sum of all weights

is 1. Furthermore, every vertex in R has been covered and is accessible from a tree starting at n_0. $\Leftarrow$ Now suppose that you have a solution for f(I) in Steiner Tree. Let S = $\{\sigma \mid \{\sigma, C_j\}$ is a path in our solution for some $C_j\}$. We verify that this is a solution for Satisfiability. First, for each C_i, $C_i \cap S \neq \emptyset$, since the C_i are required vertices in our tree and are only accessible from x_i or $\bar{x}_i$ nodes. Now we confirm that there are no conflicting literals. Assume for a contradiction that some x_i and $\bar{x}_i$ are in S. In that case, either each $\{n_1, x_i\}$ and $\{n_1, \bar{x}_i\}$ both show up in the tree, or (WLOG) $\bar{x}_i$ is accessed by a C_j that is already accessed by another literal node. In the first case, we end up with a positive balance of weight, because we use 2 to arrive at each literal and we need +1 to arrive at x_i^{end}. The available offset is -2, so we net +1. In the second, the other literal accessing C_j adds +1, and $\bar{x}_i$ adds + 1. The offset available is -1, so we net + 1. Finally, we note that using a literal must result in a net weight of at least 0 in every case. Our Steiner Tree path would have weight ≥ 2, which is above our threshold. In that case, we may have no conflicting literals in S. $\square$

4.8 Knapsack $\leq_P$ 0-1 Integer Programming

Algorithm for Knapsack

$$\text{I} \mapsto \text{f(I)} \rightarrow \boxed{\text{Algorithm for 0-1 Integer Programming}} \quad \frac{\rightarrow x \mapsto h(x)}{\rightarrow N.S. \mapsto N.S.}$$

Details Given an instance $(a_1, ..., a_r, b)$ of knapsack, we form an instance of 0-1 integer programming as follows: Let C be a $(2 \times \text{r})$ matrix. In that case, d must be a (2×1) matrix. Define each as follows:

$$\begin{aligned} c_{1i} &= a_i \\ d_{11} &= b \\ c_{2i} &= -a_i \\ d_{21} &= -b \end{aligned}$$

Given a solution s = $\vec{x}$ of integer programming, we form h(s) as $x_i = x_i$.

Theorem 4.9. *There is a solution for instance I of knapsack exactly when there is a solution for f(I) in integer programming.*

Proof. You have a solution for knapsack $\iff \sum a_i x_i = b \iff b \leq \sum a_i x_i \leq b \iff \sum a_i x_i \leq b$ and $\sum a_i x_i \geq b \iff \sum -a_i x_i \geq -b$ and $\sum a_i x_i \geq b$. We see that these are precisely the conditions required to solve our instance of 0-1 programming. $\square$

4.9 Clique Cover $\leq_P$ Chromatic Number

Details Given an instance of clique cover, I = (G', l) we form an instance of chromatic number f(I) as: G = G'^C, k = l.
Given a solution for chromatic number, S, h(S) is the sets of nodes colored the same under S.

Theorem 4.10. *There is a solution for instance I of clique cover $\iff$ there is a solution for f(I) in chromatic number.*

Proof. $\Rightarrow$ Assume you have a solution for clique cover. In that case, you have l distinct cliques which union to cover the nodes. Enumerate these sets as $C_1, C_2, ..., C_l$. We construct $\phi : N \rightarrow \mathbb{Z}_k$

as $\phi(n)$ = the largest j so that $n \in C_j$. Since our clique cover covers the nodes, our map is defined over the domain. Further, since k = l the codomain for our map is appropriate. Finally, we verify that for u, v that are adjacent, $\phi(u) \neq \phi(v)$. Since u,v are adjacent in the complement, they must not have been in G'. In that case, they do not show up in any of the same C_j. Then we must not have $\phi(u) = \phi(v)$. We have verified our solution for chromatic number.
$\Leftarrow$ Now suppose you have a solution for chromatic number. Let your clique cover, $\{C_j\}$ be defined so that $C_j = \phi^{-1}(j)$. There may be no more than l such sets, since the domain of ϕ is $\mathbb{Z}_k = \mathbb{Z}_l$. We verify that each C_j is a clique: Given u, v $\in C_j$, u and v were not adjacent in the complement to receive the same coloring. This implies that they are adjacent in our graph. Since they were arbitrary, any two nodes in a C_j are adjacent, verifying each is a clique. Finally, each node must be included since ϕ is defined from N. Thus we have a solution for clique cover. □

4.10 Feedback Arc Set $\leq_p$ Feedback Node Set

Details I: A graph $H = (V, E)$ and a positive integer k.

f: Function f takes the input I and convert it into an instance of Feedback Node Set problem where H' is a directed line graph of H with

$V' = \{(u, v) | (u, v) \in E\}$
$E' = \{< (u, v), (v, w) > | \{u, v, w\} \in V \text{ and } < u, v >, < v, w > \in E\}$
$k = l$

Algorithm for Feedback Node Set: Suppose there is a polynomial time algorithm for the Feedback Node Set problem.

S: $R \subseteq E'$ such that every directed cycle of H' contains a vertex in R and $|R| \leq k$

h(S): function h takes S as an input and return the respective edges from G.

Lemma 4.11. *If there exist a cycle between nodes of H, then there exist a cycle in H' between nodes corresponding to the edges between the nodes in H which form a cycle.*

Proof. ($\Rightarrow$) Suppose that we construct graph H' as described above. Suppose that there is graph that has a path from node u to node w with n number of nodes in between u and w in H. The path from u to w will be followed by directed edges $\{< u, 1 >, < 1, 2 >, \ldots, < n-1, n >, < n, w >\}$. According to our construction the line graph H' will have a sub graph with the nodes $\{(u, 1), (1, 2), \ldots, (n-1, n), (n, w)\}$. There will be edges $\{< (u, 1), (1, 2) >, < (2, 3), (3, 4) >, \ldots, < (n-1, n), (n, w) >\}$. Thus if there exists a path from u to w with n nodes between them then there will be a path in the line graph H' whose nodes represents the edges (path) of H. Lets add an edge between$< w, u >$ between nodes w and u in graph H. Thus there exists a cycle between nodes u, the n nodes and w back to u in H. If we add an edge in H we have to add a node representing that edge in H' and connect it to nodes it needs to be connected with. According to our constructed graph node (w, u) will have a directed edge coming in to it from node (n, w) and (w, u) will have a directed edge going out from itself to node $(u, 1)$. Since there already exists a path from $(u, 1)$ to (n, w) and we added a path from (n, w) to $(u, 1)$ through (w, u) it will form a cycle between nodes $\{(u, 1), (1, 2), \ldots, (n, w), (w, u)\}$. Therefor H' has a cycle. □

Theorem 4.12. *$H = (V, E)$ has a Feedback Arc Set of size l, iff there is a feedback arc set of size k on the graph, $H' = (V', E')$, as defined above.*

Proof. ($\Rightarrow$) Let us assume there exist a feedback arc set, S, for the graph H of size k. Lets construct graph H' as described above. Lets claim that for H', $R = \{(u, v) | (u, v) \in S\}$. Let us assume

that removing all the nodes and their connected edges from R will not break all the cycles in the graph. If that is true then R will not be a feedback node set for the graph H'. According to our construction any node (u, v) in graph H' will have directed edges connecting other nodes which represent edges connected to the nodes u and v in H. So if edge $< u, v >$ was a part of a cycle (i.e. nodes u and v were part of a cycle) with n edges in H it's corresponding node in H' should also be a part of a cycle with n nodes. Thus if removing edge $< u, v > \in S$ from H breaks all the cycles in the graph it should also break all the cycles in H', which is a contradiction to our assumption. Thus $R = \{(u, v) | (u, v) \in S\}$ is the feedback node set for H'.

($\Leftarrow$) Let us assume that H' has a feedback node set R of size l. According to our construction a node (u, v) in H' is connected to nodes which represent directed edges which are connected to either u or v in H. Let us assume that for H, $S =< u, v > |(u, v) \in R$. Further for the sake of contradiction let us assume that removing all the edges in S will not break all the cycles in H. If that is true then S is not a feedback arc set for the graph H. According to our construction if any node (u, v) is a part of a cycle in H' with n other nodes, its corresponding edge $< u, v >$ will also be in a cycle with the edges represented by the n nodes in H. And if removing nodes $(u, v) \in R$ breaks all the cycles in graph H', it should also break all the cycles if the same edges represented by the removed nodes in R are all the cycles in H should also be broken. This contradicts our assumption, thus edges $S =< u, v > |(u, v) \in R$ is the feedback arc set of H. □

4.11 Set Covering $\leq_P$ Steiner Tree

Details -Let $I = (\{S_j\}, k)$, where $\{S_j\}$ is a family of subsets and k is a positive integer. Then f will define a graph G, with vertices,
$V = \{n_o\} \cup \{S_j\} \cup \{u_i\}$, and Edges,
$E = \{\{n_o, S_j\}\} \cup \{\{S_j, u_i\} | u_i \in S_j\}$
f will also define $R \subseteq V = \{n_o\} \cup \{u_i\}$
f will also define w, a weighting function, $w(e) = 1$ for all $e \in E$
f will also define an integer, $W = |\{u_i\}| + k$.
-Suppose there is polynomial time algorithm for Steiner Tree, that returns Solution S, a subtree of G of weight $\leq k$ containing the set of vertices R.
-$h(S)$ will take solution S, a subtree of G. h will loop through this subtree, taking vertices labeled S_i with $1 \leq i \leq j$, and adding them to a set R. This list R will then be returned.
-No solution implies no solution.

Theorem 4.13. *$\{S_j\}$ has a set covering of size k iff Graph $G = (V, E)$, with weighting function $w : E \mapsto \mathbb{Z}$, and integer W, as defined above, form a Steiner Tree on Graph G.*

Proof. $\Rightarrow$ Suppose there is a set covering, T_h of size k on $\{S_j\}$. Now, take Graph G, as defined above, with $R \subseteq V$, W, and weighting function w. According to our construction, the nodes in R are $\{n_o\}$, and $\{u_i\}$, $w : E \mapsto \mathbb{Z} = 1$ for all $e \in E$, and $W = k + |\cup \{S_j\}|$. In addition, there are edges between $\{n_o\}$ and each $\{S_j\}$, and each $\{S_j\}$ to each $\{u_i\}$ that represents an element of $\{S_j\}$. In order for each of the nodes in R to be covered by the Steiner Tree, there must be an edge chosen between each $\{u_i\}$ and a $\{S_j\}$. Since we know $\{S_j\}$ has a set covering, T_h, we can connect each $\{u_i\}$ with exactly one arbitrary $\{S_j\}$ that represents a set in T_h. Since T_h is a set covering on S_j, there is guaranteed to be at least one edge from every $\{u_i\}$ to an $\{S_j\}$ that is also in $\{T_h\}$. If exactly one edge is chosen for each node representing an element in $\cup S_j$, then the total weight will

be equal to $|\cup\{S_j\}|$, since each edge has a weight of 1. Now, if we include the edges from $\{n_o\}$ to each $\{S_j\}$ in T_h, then every node in R will be contained in the subtree, and the edges chosen will form a complete subtree, as every set in $\{T_h\} \in \{S_j\}$ will be accessible from n_o, and every $\{u_i\}$ is accessible from the sets in $\{T_h\} \in \{S_j\}$. Further, since there are k sets in T_h, the total weight is now $k + |\{S_j\}|$, which is equal to W. Thus, there is a Steiner Tree on G.
$\Leftarrow$ Suppose there is a Steiner Tree on G, with set $R \subseteq V$, weighting function $w : A \mapsto \mathbb{Z}$, and integer W, all constructed as defined above. Since the elements chosen to be in R in our construction were $\{n_o\}$ and $\{u_i\}$, these must be accessible through the Steiner Tree. Further, since we have a Steiner Tree the total weight of the edges included must be $\leq W$. Since each of the $\{u_i\}$ must be accessed, and the only access to them is through edges of weight 1 to the clauses they are a part of, the Steiner Tree must have at least weight $\{u_i\}$. We know that the total weight must be $\leq W$, which is equal to $\{u_i\} + k$. Since we already have weight $\{u_i\}$, the remaining edges that were chosen must have weight $\leq k$. Since the weight from $\{n_o\}$ to each node representing a set is equal to 1, k or less of these nodes representing sets must have been chosen. These $\{S_j\}$ that were chosen must also have a connection to every $\{u_i\}$. Thus, k or less sets can be chosen in $\{S_j\}$ such that every element in $\{u_i\}$ is a part of the chosen sets, which, by definition is a set covering. □

4.12 Hitting Set $\leq_P$ Exact Cover

Details -Let $I = \{U_i\}$, a family of subsets of a set $\{s_j, j = 1, 2, \ldots t\}$. Then f will define a family of subsets, $\{S_j\}$, such that $u_i \in S_j$ iff $s_j \in U_i$. (i.e. $\{S_j\}$ will contain j sets, $j = |\cup U_i|$, with elements representing the sets that each element represented by S_j are in in $\{U_i\}$).
-Suppose there is a polynomial time algorithm for Exact Cover, that returns Solution S, a subset of S_j such that $\cup S = \cup S_j$ and S is disjoint.
-$h(S)$ will take in a set of integers, S, representing an Exact Cover on $\{S_j\}$. This same set can be returned, as the same integers represent the elements on $\{U_i\}$ that form a hitting set.
-No solution implies no solution

Theorem 4.14. *$\{U_i\}$ has a hitting set iff $\{S_j\}$, as constructed above, has an exact cover.*

Proof. $\Rightarrow$ Suppose there is a solution, W, for hitting set on U_i such that $|W \cap U_i| = 1$. S_j is defined with $u_i \in S_j$ iff $s_j \in U_i$. Thus, since the elements in U_i represent sets in S_j, and the sets in U_i represent elements in S_j, if there is a subset of elements in $s_j \in U_i$ that can intersect with each set in U_i with a cardinality of 1, that means there is a subset of sets in S_j that contains each element in S_j exactly once, which, by definition, is an exact cover.
$\Leftarrow$ Suppose there is an exact cover on S_j. That is, there is some subset, T_h, that is disjoint and $\cup T_h = \cup S_j$. Given our construction, $s_j \in U_i$ iff $u_i \in S_j$. By definition, an exact cover on S_j means that some subset of sets can be chosen such that each element in $\cup S_j$ appears exactly once. On U_i, this means that some subset of elements in $\cup U_i$ can be chosen such that each set in $\cup U_i$ contains exactly one element. By definition, this is a solution for hitting set. □

4.13 Hitting Set $\leq_P$ Clique

Details -Let $I = \{U_i\}$, a family of subsets of a set $\{s_j, j = 1, 2, \ldots t\}$. Then f will define a Graph, $G = (V, E)$, with
$V = \{< i, j > | u_i \in S_j, S_j \in \{S_j\}\}$ (i.e. each element of each set gets a node, for example if $S_1 = (1, 2), S_2 = (1, 3, 5)$, $V = (1, 1), (2, 1), (1, 2), (3, 2), (5, 2)$). and

$E = \{<< i, j >, < k, l > | j \neq l$ and ($i = k$ or (if $i \in S_j, k \notin S_j$ for all $S_j \in \{S_j\}$ and if $k \in S_j, i \notin S_j$ for all $S_j \in \{S_j\}$))$\}$ (i.e. edges are only between nodes from different sets, and there are only edges if two nodes represent the same element in $\cup\{S_j\}$ or if the two elements do not appear in any of the same sets in $\{S_j\}$.
f will also define an integer, $k = |\{S_j\}|$.
-Suppose there is a polynomial time algorithm for Clique, that returns solution S, a subset of $G = (V, E)$ that represents a clique of size k on G.
-$h(S)$ will take in solution S, and loop through it, adding the element that each node represents to set W if it hasn't already been added (no duplicates). W will then be returned.
-No solution implies no solution.

Theorem 4.15. *$\{U_i\}$ has a hitting set iff $G = (V, E)$, constructed as defined above, has a clique of size k.*

Proof. $\Rightarrow$ Suppose $\{S_j\}$ has a hitting set. That is, there is some set, $W \subseteq \cup S_j$, such that $|W \cap S_j| = 1$ for all $S_j \in \{S_j\}$. By our construction, each element in every S_j has a node, and nodes are connected if they represent the same element in u_i, or if they do not appear in any of the same sets in S_j. Thus, if the nodes representing the element in each that is part of the solution W is chosen to be in the clique, there will be an edge to every other node representing elements in solution W. This is because the only way nodes are not connected is if the u_i they represent appear in any set together. Further, since we need a clique of size $|\{S_j\}|$, since a hitting set requires one element from each set, there will be $|\{S_j\}|$ elements in the clique. Thus, we have a clique of size $|\{S_j\}|$ on G.
$\Leftarrow$ Suppose we have a clique of size $|\{S_j\}|$ on G. Further suppose G was constructed as defined above. Since edges were only assigned if 2 elements were in different sets, had the same element, or don't appear in any sets together, we know that a clique of size $|\{S_j\}|$ means that each set contains exactly one element in the clique. If each element in the clique is chosen to be in W, then $|W \cap S_j| = 1$ for all $S_j \in \{S_j\}$, which, by definition, is a hitting set on $\{S_j\}$. □

4.14 Set Packing $\leq_P$ Clique

Details -Let $I = \{S_j\}, k$, a family of subsets $\{S_j\}$ and an integer k. Then f will define a Graph, $G = (V, E)$, with
$V = \{S_j | S_j \in \{S_j\}\}$ (i.e. one node for each set in S_j) and
$E = \{< S_j, S_i > | S_j$ and S_i are mutually exclusive$\}$
-Suppose there is a polynomial time algorithm for Clique, that returns solution S, a subset of $G = (V, E)$ that represents a clique of size k on G.
-$h(S)$ will take in solution S, a subset of G representing a clique of size k on G. $h(S)$ will return this same subset, as the nodes were named to represent sets in $\{S_j\}$, and the same nodes in this clique represent a set packing for $\{S_j\}$.
-No solution implies no solution.

Theorem 4.16. *$\{S_j\}$ has a set packing of size k iff $G = (V, E)$, constructed as defined above, has a clique of size k.*

Proof. $\Rightarrow$ Suppose there is a solution for set packing on $\{S_j\}$. That is, there are k sets in $\{S_j\}$ that are mutually exclusive. G, constructed as defined above, has a node for each set in S_j, and edges only if the sets the nodes represent are mutually exclusive. Thus, since the nodes representing sets in the solution for set packing are mutually exclusive, there will be edges between each node, and

they will form a clique of size k.
$\Leftarrow$ Suppose G, constructed as defined above, has a clique of size k. Since edges in G are only there if the two sets are mutually exclusive, this means there are k mutually exclusive sets on $\{S_j\}$, which, by definition, is a Set Packing. $\square$

4.15 Exact Cover $\leq_P$ Clique

Details -Let $I = \{S_j\}$, a family of subsets of a set $\{s_j, j = 1, 2, \ldots t\}$. Then f will define a Graph, $G = (V, E)$, with
$V = \{< i, j > | u_i \in S_j, S_j \in \{S_j\}\}$ (i.e. each element of each set gets a node, for example if $S_1 = (1, 2), S_2 = (1, 3, 5)$, $V = (1, 1), (2, 1), (1, 2), (3, 2), (5, 2)$). and
$E = \{<< i, j >, < k, j >> \mid$ for all $u_i, u_j \in S_j\} \cup \{<< i, j >, < k, l > \mid$ if S_j and S_l are mutually exclusive. f will also define an integer, $k = |\{u_i\}|$ (i.e. the number of elements in $\cup S_j$
-Suppose there is a polynomial time algorithm for Clique, that returns solution S, a subset of $G = (V, E)$ that represents a clique of size k on G.
-$h(S)$ will take in solution S, and loop through it, adding the set that each node represents to set R if it hasn't already been added (no duplicates). W will then be returned.
-No solution implies no solution.

Theorem 4.17. *$\{S_j\}$ has an exact cover iff $G = (V, E)$, constructed as defined above, has a clique of size k.*

Proof. $\Rightarrow$ Suppose there is an exact cover, T_h on $\{S_j\}$. That is, there is some set, T_h, such that $\cup T_h = \cup S_j$ and T_h is disjoint. By our construction, nodes are only connected if they are in the same set, or if their set is mutually exclusive with another nodes set. Thus, if the sets in the exact cover's elements are chosen to represent a clique, each node from these sets will be connected because the sets are mutually exclusive. Further, since the sets in the exact cover cover every element in $\cup S_j$, there will be a clique of size $|\{u_i\}|$.
$\Leftarrow$ Suppose there is a clique of size k on G. Further suppose G was constructed as defined above. By our construction, edges are only between elements of the same set, and between elements of mutually exclusive sets. Thus, in order for there to be a clique of size k, there must be a subset of sets that is disjoint and that covers k elements. Since k is defined to equal $|\{u_i\}|$, each element is covered by the sets in the clique, thus there is an exact cover on $\{S_j\}$. $\square$

4.16 Feedback Node Set $\leq_P$ Set Covering

Details -Let $I = (G = (V, E), k)$, where G is a directed graph, and k is an integer. Then f will define $\{S_j\}$ a family of sets. Each $v_j \in V$ will correspond to a set, $S_j \in \{S_j\}$, with the elements of the set being the cycles in G that the node represented by the set is a part of in G. f will also define an integer, $k = k$.
-Suppose there is a polynomial time algorithm for Set Covering, that returns solution S, a subset of sets in S_j that represent a set covering of size k on S_j.
-$h(S)$ will take in solution S, a subset of sets in S_j that represents a set covering of size k on S_j. Since each set in S_j represents a node, each set in S will be converted back to the node that it represents on G, and added to set R. The set R will be returned.
-No solution implies no solution.

Theorem 4.18. *$G = (V, E)$ has a Feedback Node Set iff $\{S_j\}$, constructed as defined above, has a Set Covering of size k.*

Proof. $\Rightarrow$ Suppose there is a Feedback Node Set of size k on G. That is, there are k nodes that are contained in every cycle in G. By our construction, sets in S_j are constructed for each node in G, with elements being the cycles that that node appears in. Thus, since the elements of S_j are cycles, $\cup S_j$ is the set of all cycles on G. Therefore, if the same nodes in the Feedback Node Set are chosen for the set covering, k sets can be chosen such that every element in $\cup S_j$ is chosen.
$\Leftarrow$ Suppose there is a set covering on S of size k. Since the sets represent nodes and the elements represent the cycles that node is in, there is some set of k nodes contained in each cycle, which, by definition, is a Feedback Node Set. □

4.17 Exact Cover $\leq_P$ Satisfiability

Details -Let $I = \{S_j\}$, a family of subsets with elements u_i. Then f will define Clauses $C_1, C_2, \ldots C_k$ and literals $x_1, x_2, \ldots x_j$. Each element, $u_i \in \cup S_j$ will have a corresponding clause C_i. If $u_i \in S_j$, then $x_j \in C_i$. Further, if C_i has 2 or more members, then there will be Clauses added containing the negation of every combination of 2 literals in C_i. For example, if $C_i = (1 \cup 2 \cup 3)$, the Clauses added will be $C_l = (\bar{1} \cup \bar{2}), C_m = (\bar{1} \cup \bar{3}), C_n = (\bar{2} \cup \bar{3})$.
-Suppose there is a polynomial time algorithm for Satisfiability, that returns solution S, a satisfying assignment for the literals x_j in Clauses C_k.
-$h(S)$ will take in Solution S, a satisfying assignment for the literals x_j in Clauses C_k. Then h will loop through S, and if x_j is set to be true, it will add the corresponding set S_j to solution set R. R will then be returned.
-No solution implies no solution.

Theorem 4.19. *$\{S_j\}$ has an exact cover iff Clauses $C_1, C_2, \ldots C_k$ with literals $x_1, x_2, \ldots x_j$, constructed as defined above, has a satisfying assignment.*

Proof. $\Rightarrow$ Suppose we have an exact cover on sets $\{S_j\}$. That is, there is some family of subsets, T_h, that are disjoint such that $\cup T_h = \cup S_j$. Based on our construction, each element in $\cup S_j$ has a clause with the literals of the clause being the sets that the elements u_i appears in. Further, for each clause of size 2 or more are clauses containing each combination of 2 literals in the original clause that contains the negation of these literals. Thus, if each set that is part of the exact cover is chosen to be true, then each clause representing an element will be satisfied. Further, if the literals representing the remaining sets are chosen to be false, the negation clauses will be satisfied, as the remaining clauses only have literals that represent sets not chosen in the exact cover left, and since they are negated, they must be set to false. Thus, there is a satisfying assignment for the Clauses.
$\Leftarrow$ Suppose we have a satisfying assignment for Clauses $C_1, C_2, \ldots C_p$. Based on our construction, the clauses represent elements, u_i, of $\cup S_j$ and the literals represent sets in S_j. Each clause has literals representing the sets that the corresponding clauses element appears in. Further, each clause that has 2 or more literals has corresponding clauses containing the negation of every corresponding combination of 2 literals from the original clause. Since each element has a clause, and each of these clauses must be satisfied, we know that each element has at least one set that can be chosen that contains the element. Further, in order for the clauses with negated literals to be satisfied, each other literal that is not chosen must be set to false, as they will all appear in a set with the chosen literal. For example, if the clause representing an element is, $(A \cup B \cup C)$, the negated clauses will be $(\bar{A} \cup \bar{B}), (\bar{A} \cup \bar{C}), (\bar{B} \cup \bar{C})$. If A is set to be true, both B and C must be set to false to satisfy C.

However, we already know that we have a satisfying assignment, which means each element must only have exactly one set that can be chosen such that $\cup S_j = \cup T_h$ and T_h is disjoint. By definition, that's an exact cover on S_j. □

4.18 Hitting Set $\leq_P$ Satisfiability

Details -Let $I = \{S_j\}$, a family of subsets with elements u_i. Then f will define Clauses $C_1, C_2, \ldots C_k$ and literals $x_1, x_2, \ldots x_j$. Each set, S_j, will have a corresponding clause C_i. If $u_i \in S_j$, then $x_i \in C_j$. Further, if C_j has 2 or more members, then there will be Clauses added containing the negation of every combination of 2 literals in C_j. For example, if $C_j = (1 \cup 2 \cup 3)$, the Clauses added will be $C_l = (\bar{1} \cup \bar{2}), C_m = (\bar{1} \cup \bar{3}), C_n = (\bar{2} \cup \bar{3})$.
-Suppose there is a polynomial time algorithm for Satisfiability, that returns solution S, a satisfying assignment for the literals x_j in Clauses C_k.
-$h(S)$ will take in Solution S, a satisfying assignment for the literals x_j in Clauses C_k. Then h will loop through S, and if x_j is set to be true, it will add j to solution set R. R will then be returned.
-No solution implies no solution.

Theorem 4.20. *$\{S_j\}$ has a hitting set iff Clauses $C_1, C_2, \ldots C_k$ with literals $x_1, x_2, \ldots x_i$, constructed as defined above, has a satisfying assignment.*

Proof. $\Rightarrow$ Suppose we have a hitting set on sets $\{S_j\}$. That is, there is some set W, such that $|W \cap S_j| = 1$ for all $S_j \in \{S_j\}$. Based on our construction, each set in $\{S_j\}$ has a clause with the literals of the clause being the elements of S_j. Further, for each clause of size 2 or more are clauses containing each combination of 2 literals in the original clause that contains the negation of these literals. Thus, if each literal representing an element that is part of W is set to be true, then each clause representing a set in $\{S_j\}$ will be satisfied. Further, if the literals representing the remaining elements $\notin W$ are set to be false, the negation clauses will be satisfied, as the remaining clauses only have literals that represent elements $\notin W$, and since they are negated, they must be set to false. Thus, there is a satisfying assignment for the Clauses.
$\Leftarrow$ Suppose we have a satisfying assignment for Clauses $C_1, C_2, \ldots C_p$. Based on our construction, the clauses represent sets, $S_j \in \{S_j\}$ and the literals represent the elements of the corresponding set. Further, each clause that has 2 or more literals has corresponding clauses containing the negation of every corrresponding combination of 2 literals from the original clause. Since each set has a clause, and each of these clauses must be satisfied, we know that each set has at least one element that can be chosen that is part of W. Further, in order for the clauses with negated literals to be satisfied, each other literal that is not chosen to be true to satisfy the set clauses must be set to false, as they will all appear in a set with the chosen literal. For example, if the clause representing an element is, $(A \cup B \cup C)$, the negated clauses will be $(\bar{A} \cup \bar{B}), (\bar{A} \cup \bar{C}), (\bar{B} \cup \bar{C})$. If A is set to be true, both B and C must be set to false to satisfy each of the clauses. However, we already know that we have a satisfying assignment, which means that each set must only have exactly one element that can be chosen to be in W such that $|W \cap S_j| = 1$. By definition, that's a hitting set on S_j. □

4.19 Satisfiability $\leq_p$ Knapsack

Details Given an instance I = $(C_1, C_2, ..., C_P)$ of Satisfiability, we form an instance f(I) of knapsack as follows: Let m be (the maximum number of literals that appear in a single C_i) + 1. Assign to each C_i the label m^i. Each x_j for knapsack will correspond to the variables in Satisfiability in the

obvious way. We define a_j to be:

$$\left(\sum_{C_i|x_j\in C_i} m^i\right) - \left(\sum_{C_i|\bar{x}_j\in C_i} m^i\right)$$

We let

$$b = \left(m^0 + m^1 + \cdots + m^P\right) - \left(\sum_{\bar{x}_j}\sum_{C_i|\bar{x}_j\in C_i} m^i\right)$$

Additionally, for each C_i, we add (m - 1) DONT NEED THAT MANY filler variables $x_{filler-i-h}$ with associated $a_{filler-i-h} = -m^i$.
We map a solution, S, via h(S) using the assignment of x_i to select true literals.

Theorem 4.21. *There is a solution to an instance I of Satisfiability $\iff$ there is a solution to f(I) in Knapsack.*

Proof. Label each clause with a distinct power of m by $C_i \to m^i$. For every literal, σ, map σ to the sum of powers of m for each clause σ appears in. We use this to require an exact satisfying argument for Satisfiability that has no conflicting literals as:

$$\begin{aligned}&(1-x_1)(\sum_{C_i|\bar{x}_1\in C_i} m^i) + x_1(\sum_{C_i|x_1\in C_i} m^i) + \cdots + (1-x_r)(\sum_{C_i|\bar{x}_r\in C_i} m^i) + x_r(\sum_{C_i|x_r\in C_i} m^i)\\ &= \left(m^0 + m^1 + \cdots + m^P\right)\end{aligned}$$

Note that this holds exactly when

$$\begin{aligned}&x_1(\sum_{C_i|x_1\in C_i} m^i - \sum_{C_i|\bar{x}_1\in C_i} m^i) + \cdots + x_r(\sum_{C_i|x_r\in C_i} m^i - \sum_{C_i|\bar{x}_r\in C_i} m^i)\\ &= m_0 + \cdots + m^P - \left(\sum_{\bar{x}_j}\sum_{C_i|\bar{x}_j\in C_i} m^i\right)\end{aligned}$$

Which shows that an exact solution for Satisfiability occurs exactly when the second equality holds. Because we seek any solution for Satisfiability, we relax the constraints to allow for subtracting up to (m - 1) copies of m^i for each C_i on the left side of the first equation. Because of our choice of base, we do not run the risk of overlapping by subtracting too much, and we retain the requirement that every clause is satisfied. We have added the possibility for a clause to be over-satisfied. Readjusting the second equation to compensate for this yields the formula we provide for knapsack. □

4.20 Undirected Hamiltonian Circuit $\leq_p$ Hitting Set

Details Given an instance I = (N, A) of Undirected Hamiltonian Circuit, we form instance f(I) of hitting set as follows:

$$\begin{aligned}S_J = &\{\{\{v_i, u_{i+1}\} \mid u \in N, u \neq v\} \mid v \in N\} \mid i \in \{1, ..., |N|\} \quad (1)\\ &\cup \{\{\{v_i, u_{i+1}\} \mid u \in N, i \in \{1, \cdots, |N|\}\} \mid v \in N\} \quad (2)\\ &\cup \{\{\{u_i, v_{i+1(mod|N|)}\} \mid \{u, v\} \in A\} \mid i \in \{1, \cdots, |N|\}\} \quad (3)\end{aligned}$$

Given a solution, S = $\{\{v_1, v_2\}, \{v_2, v_3\}, \cdots, \{v_{|N|}, v_1\}\}$ for hitting set, we let h(S) be the sequence of v_i provided in our solution.

Theorem 4.22. *There is a solution for I in Undirected Hamiltonian Circuit* $\iff$ *there is a solution for f(I) in hitting set.*

Proof. We use the fact that a graph (N, A) has an Undirected Hamiltonian Circuit $\iff$ there is a sequence, $n_1 n_2 \cdots n_{|N|}$ so that each n_i is distinct, and for each $n_i n_{i+1(mod|N|)}$ the vertices are adjacent. We proceed to verify our construction.

Our first type of set requires that for each $i \in \{1, \cdots, |N|\}$ exactly one vertex is chosen for that i. The second type of set requires that for each vertex, exactly one place is selected. This gives us a simple permutation of our nodes. The third requirement verifies that each level selected as $i \to i + 1(mod|N|)$ corresponds to an edge in our graph. This restriction forces the selected permutation to exist $\iff$ there is an Undirected Hamiltonian Circuit in our graph from our previously established criterion. □

5 Appendix

5.1 Karp's Reductions

In this section, we detail reductions presented by Karp and provide proof of their effectiveness. We adopt the convention of an instance I of a problem, a polynomial reducing function f, a solution S to the reduced instance, and a polynomial function h which makes $h(S)$ a solution to the original problem.

5.1.1 Chromatic Number $\propto$ Clique Cover

Details Let $I = (G = (V, E), k)$ where G is a graph and k is a positive integer. Then f will create a new Graph, G', equal to the complement of G. f will also define an integer $l = k$. Suppose there is a polynomial time algorithm for Clique Cover, that returns solution S, a set of cliques representing a Clique Cover of size l on G'. Then $h(S)$ will take in a set of cliques, S, and define a function, ϕ. For each clique in S, $h(S)$ will map ϕ to the same element for each node in the same clique. ϕ will map each clique to a different result. ϕ will be returned.

Theorem 5.1. *Graph $G = (V, E)$ has a chromatic number, k, iff its complement, G', has a clique cover of size $l = k$.*

Proof. $\Rightarrow$ Suppose G has a chromatic number, k. That is, there is a function, ϕ, that colors the vertices of G with k colors such that no two adjacent vertices have the same color. Thus, no two vertices who share the same color can have any edges between them on G. Now, take G', the complement of G, which includes every edge that wasn't in G, and doesn't include any edge that was in G. In this graph, vertices of the same color now must have an edge connecting them, as there were no edges between vertices of the same color in G. Thus, there is now a clique between each group of vertices with the same color on G'. Since there are k colors on G, G' has k cliques, thus G' has a clique cover of size $k = l$. $\Leftarrow$ Suppose G', the complement of G, has a clique cover of size k. By definition, a clique contains edges between every member of the clique. In addition, even though a vertex, $v \in V$ can be in multiple cliques on G', each vertex can only be assigned to one clique in order for there to be a clique cover on G'. Therefore, v can be assigned to either of

the cliques it is a part of, as long as there is a still a clique cover on G' of size k. Since G' is the complement of G, none of the vertices that formed cliques on G' will have any edges between each other on G. Thus, if the vertices that formed the cliques of the clique cover on G' are given the same color by ϕ on G, then no adjacent vertices will have the same color. Thus, G has a coloring of size k. □

5.1.2 Knapsack $\propto$ Partition

Details Let $I = (a_1, a_2, \dots a_r, b)$, where a is a list of integers and b is an integer. Then f will define a set, c, with size $s = r + 2$. $c_i = a_i$, with $1 \leq i \leq r$. $c_{r+1} = b + 1$, and $c_{r+2} = (\Sigma_{i=1}^{r} a_i) + 1 - b$. Suppose there is a polynomial time algorithm for Partition that returns solution S, a set such that $S \subseteq \{1, 2, \dots s\}$ and $\Sigma_{h \in S} c_h = \Sigma_{h \notin S} c_h$. Now, $h(S)$ will take in set S, a solution to Partition. It will loop through S, adding elements that represent elements of a to a new set R. Any vertices that were added to the set S as part of the construction and that do not represent elements of C are not included in R. $h(S)$ will then define a new set, x, of size r. For $1 \leq i \leq r$, $x_i = 1$ if $a_i \in R$, $x_i = 0$ otherwise. x will then be returned.

Theorem 5.2. *$a = (a_1, a_2, \dots a_r)$ and b have a 0-1 solution for $\Sigma a_j x_j = b$ iff set c, of size s, both constructed as defined above, have a set $I \subseteq \{1, 2, \dots s\}$ such that $\Sigma_{h \in I} c_h = \Sigma_{h \notin I} c_h$.*

Proof. $\Rightarrow$ Suppose that $a = (a_1, a_2, \dots a_r)$ and integer b have a set x of size r that has a 0-1 solution such that $\Sigma a_r x_j = b$. That is, there is some subset, S, $S \subseteq a$ such that $\Sigma_{h \in S} a_h = b$. Based on our construction, c is equivalent to a for all i such that $1 \leq i \leq r$. Thus, that same subset S, can be used, with $\Sigma_{h \in S} a_h = b$ and $\Sigma_{h \notin S} a_h = \Sigma_{i=1}^{r} a_i - b$. However, in c there are two additional elements, $I_{r+1} = b + 1$ and $I_{r+2} = (\Sigma_{i=1}^{r} a_i) + 1 - b$. If I_{r+1} is added to $h \notin S$ and I_{r+2} is added to $h \in S$, we now have $\Sigma_{h \in S} c_h = b + \Sigma_{i=1}^{r} a_i + 1 - b$ and $\Sigma_{h \notin S} c_h = \Sigma_{i=1}^{r} a_i - b + b + 1$. Simplify, and we have $\Sigma_{h \in S} c_h = \Sigma_{i=1}^{r} a_i + 1 = \Sigma_{h \notin S} c_h$. By definition, c has a partition, I, such that $\Sigma_{h \in I} c_h = \Sigma_{h \notin I} c_h$.
$\Leftarrow$ Suppose that set c, of size s, as constructed above, has a set, $I \subseteq \{1, 2, \dots s\}$ such that $\Sigma_{h \in I} c_h = \Sigma_{h \notin I} c_h$. Based on our construction, $s = r + 2$, and for $1 \leq i \leq r$, $c_i = a_i$. Additionally $c_{r+1} = b + 1$ and $c_{r+2} = (\Sigma_{i=1}^{r} a_i) + 1 - b$. The remaining elements have value $\Sigma_{i=1}^{r} a_i$. If c_{r+1} and c_{r+2} are in the same half of the partition, $c_{r+1} + c_{r+2} = \Sigma_{i=1}^{r} a_i + 1 - b + b + 1 = \Sigma_{i=1}^{r} a_i + 2 > \Sigma_{i=1}^{r} a_i$. Thus, there is no possible partition if c_{r+1} and c_{r+2} are both included in the set I, or if both are not included in the set I, so exactly one must be included. (i.e c_{r+1} and c_{r+2} must be in different halves of the partition). However, a partition was supposed to exist, so we have
$b + 1 + \Sigma_{h \notin S} a_h = (\Sigma_{i=1}^{r} a_i) + 1 - b + \Sigma_{h \in S} a_h$
$2b + \Sigma_{h \notin S} a_h = \Sigma_{i=1}^{r} a_i + \Sigma_{h \in S} a_h$
$2b + \Sigma_{h \notin S} a_h + \Sigma_{h \in S} a_h = \Sigma_{i=1}^{r} a_i + 2\Sigma_{h \in S} a_h$
By definition, $\Sigma_{h \notin S} a_h + \Sigma_{h \in S} a_h = \Sigma_{i=1}^{r} a_i$. Thus,
$2b + \Sigma_{i=1}^{r} a_i = \Sigma_{i=1}^{r} a_i + 2\Sigma_{h \in S} a_h$
$2b = 2\Sigma_{h \in S} a_h$
$b = \Sigma_{h \in S} a_h$
Thus, if the elements $a_i \in a \cap a_i \in S$ are given a 1 value for $x_i \in x$, and the elements $a_i \in a \cap a_i \notin S$ are given a 0 value for $x_i \in x$, then x_j will be a 0-1 solution for $\Sigma a_r x_j = b$. □

5.1.3 Clique $\propto$ Node Cover

Details Let $I = (G = (V, E), k)$, where G is a graph and k is a positive integer. Then f will define a graph G' that is equal to the complement of G. (i.e. all of the nodes in G' are the same as in

G, edges that did not appear in G appear in G', and edges that did appear in G do not appear in G'). f will also define a positive integer, l, that is equal to $|V| - k$. Suppose that there is a polynomial time algorithm for Node Cover, that returns solution S, which is a set of Nodes, S, that represents a Node Cover of G'. Then, $h(s)$ will take in a set, S, of Nodes representing a Node Cover, and use the original set of Nodes, V, from the original graph G. h will define a new set of Nodes, $N = V - S$, and return this. (i.e. the set of Nodes that are in V, but that are not in S.

Theorem 5.3. *$G = (V, E)$ has a clique of size k iff there is a node cover of size $|V| - k$ on G'.*

Proof. $\Rightarrow$ Suppose $G = (V, E)$ has a clique of size k. Thus, G' will not have any edges between the members of the clique $(v_1, v_2, \dots v_k)$. Each vertex in the clique $(v_1, v_2, \dots v_k)$ will be accessible in G' by any vertices they are not connected to in G. (If G is completely connected, there will be no edges in G', so the empty set will be a node cover) Therefore, if the vertices not in the clique are chosen to be in the Node Cover, all edges will be covered and the size will be $|V| - k$.
$\Leftarrow$ Suppose there is a node cover, S, on G' of size $l = |V| - k$. Since S is a node cover, all edges can be accessed by the vertices in S. Thus, no edges in the set in the set $V - S$ can be connected to each other in G', or S wouldn't be a valid node cover. Thus, the vertices in $V - S$ form a clique of size $|V| - |S|$ on G. $|S|$ is defined to be l, or $|V| - k$, so the size of the clique is
$|V| - (|V| - k) = |V| - |V| + k, = k$.
Thus, if there is a Node Cover, S, on G' of size $|V| - k$, then there is a clique on G of size k. □

5.1.4 Exact Cover $\propto$ Hitting Set

Details Let $I = \{S_j\}$, a family of subsets of a set $\{u_i, i = 1, 2, \dots t\}$. Then f will define a family of subsets, $\{U_i\}$, such that $s_j \in U_i$ iff $u_i \in S_j$. (i.e. $\{U_i\}$ will contain i sets, $i = |\cup S_j|$, with elements representing the sets that each element represented by U_i are in in $\{S_j\}$). Suppose there is a polynomial time algorithm for Hitting Set, that returns Solution S, a set such that, for each $S_i \in \{U_i\}$, $|W \cap U_i| = 1$. Now, $h(S)$ will take in a set of integers, S, representing a Hitting Set on $\{U_i\}$. This same set can be returned, as the same integers represent the indices on $\{S_j\}$ that form an exact cover.

Theorem 5.4. *$\{S_j\}$ has an exact cover iff $\{U_i\}$, as constructed above, has a hitting set.*

Proof. $\Rightarrow$ Suppose $\{S_j\}$ has an exact cover. By our construction, each element in $\cup S_j$ gets a set in $\{U_i\}$, with elements of U_i being the sets in $\{S_j\}$ that the element represented by U_i appears in. Suppose, for the sake of contradiction, that U_i does not have a hitting set. That is, for every $W \in U$ there is a U_i where $|W \cap U_i| \neq 1$. Since each $U_i \in \{U_i\}$ represents elements, this means that some element, u_i, appears in multiple or zero sets, S_j, and there is no group of sets, $S_j \in \{U_i\}$, that can be chosen in $\{S_j\}$ such that this element does not appear exactly once. Thus, there is no exact cover in $\{S_j\}$, which contradicts what was previously supposed. $\Leftarrow$ Suppose $\{U_i\}$ has a hitting set. By our construction, each set in $\{U_i\}$ represents an element in $\cup S_j$, with elements of U_i being the sets that the elements represented by it appears in in $\{S_j\}$. There exists some W, such that $|W \cap U_i| = 1$ for all $U_i \in \{U_i\}$. Since the sets in $\{U_i\}$ represent elements in $\{S_j\}$, and each element in U_i represents the sets that element is in in $\{S_j\}$, $|W \cap U_i| = 1$ implies that the subset of sets represented by the elements of W can be chosen such that every element in $\{S_j\}$ appears exactly once, which by definition is an exact cover for $\{S_j\}$. □

5.1.5 Clique $\propto$ Set Packing

Details Let $I = (G = (V, E), k)$, where G is a graph and k is a positive integer, where $V = \{1, 2, \ldots, k\}$. Then f will define $\{S_j\}$, a family of n sets, with elements $S_i = \{\{i, j\} \mid \{i, j\} \notin E\}, 1 \leq i \leq n$ (i.e. each node gets a set, with elements representing edges that aren't in G). f will also define an integer, l, with $l = k$. Suppose that there is a polynomial time algorithm for Set Packing that returns solution S, which is a family of sets representing mutually exclusive sets of $\{S_j\}$. Then $h(s)$ will take the sets in S, and convert them back to their respective nodes from G. Each node will be added to the subgraph, H, of G. For example, if $S = \{S_1, S_2, S_4\}, h(s)$ will return the subgraph $H = (V, E)$ with $V = \{1, 2, 4\}$.

Theorem 5.5. *$G = (V, E)$ has a clique of size n iff there are k mutually exclusive sets in $\{S_j\}$ as defined above.*

Proof. $\Rightarrow$ Suppose G has a clique of size k. Let $\{v_1, v_2, \ldots v_k\}$ be the vertices in this clique. If $i \neq j$ and $v_i, v_k \in \{v_1, v_2, \ldots v_k\}$, then $S_i \cap S_j = 0$, or there would be two members of the clique that do not have an edge between them, which is impossible. Thus, $S_i \cap S_j = 0, i \neq k, v_i, v_k \in \{v_1, v_2, \ldots v_k\}$. By definition, this means there is a set packing of size k on $\{S_j\}$. $\Leftarrow$ Suppose there are k mutually exclusive sets in $\{S_j\}$. WLOG, let $S_1, S_2, \ldots S_k$, be the sets that form the exact cover in $\{S_j\}$. Let G be the graph constructed as directed above. Suppose, for contradiction, there is no clique of size k in G, between vertexes 1 and k. Therefore, for vertices i in $1 \leq i \leq k$, there exists a vertex j and a vertex i with no edge between them. Therefore, (i, j) will be added to S_i and S_j. Thus, S_i and S_j are not mutually exlusive, as both contain the edge (i, j). This contradicts what was previously supposed. □

5.1.6 Satisfiability $\propto$ Satisfiability With At Most 3 Literals Per Clause

Details Let $I = ((C_1, C_2, \ldots C_p), (x_1, x_2, \ldots x_n))$ where C are clauses and x are literals. For every clause, C_j in I with more than 3 literals, we say $C_j = \sigma_1 \cup \sigma_2 \cup \ldots \sigma_m$. Replace each C_j with $(\sigma_1 \cup \sigma_2 \cup u_1)(\sigma_3 \cup \ldots \sigma_m \cup \bar{u_1})(\bar{\sigma_3} \cup u_1) \ldots (\bar{\sigma_m} \cup u_1)$
Suppose there is a polynomial time algorithm for Satisfiability With at Most 3 Literals Per Clause that returns solution S, a satisfying assignment for $f(I)$. Now, $h(S)$ will take in the satisfying assignment for $f(I)$, remove all of the variables labeled "u", and return what is remaining in the satisfying assignment.

Theorem 5.6. *Clauses $C_1, C_2, \ldots C_p$ are satisfiable with literals $x_1, x_2, \ldots x_n$ iff Clauses $D_1, D_2, \ldots D_k$ with literals $x_1, x_2, \ldots x_n, u_1, \ldots u_t$, constructed as directed above, are satisfiable.*

Proof. $\Rightarrow$ Suppose Clauses $C_1, C_2, \ldots C_p$ are satisfiable with literals $x_1, x_2, \ldots x_n$. By induction on n, the number of clauses with more than 3 literals, we can show that our instance of Satisfiability With at Most 3 Literals Per Clause will also be satisfied. **Base case**: n=1. Suppose Clauses $C_1, C_2, \ldots C_p$ are satisfiable and have exactly one clause with more than 3 literals. Since the clauses with 3 or less literals are kept the same in $f(I)$, they will still be satisfiable in $f(I)$ if the same literals are chosen. Let $C_j = (x_1, x_2, \ldots x_m)$ be the clause, satisfied in I, with more than 3 literals. At least one of these literals must be satisfied for C_j to be true. Based on the construction, and WLOG, if x_1 and x_2 are false, then u_1 can be set to true to satisfy the clause. Then, since C_j is satisfiable, even though $\bar{u_1}$ is false in the next clause, the literal that satisfies C_j is guaranteed to be in it. Thus, the new construction will be satisfiable, and the same literals can be used to satisfy $f(I)$.

Inductive hypothesis: Suppose I is satisfiable and has n clauses with more than 3 literals. Further suppose that the instance of Satisfiability With at Most 3 Literals Per Clause that is constructed from I is satisfiable.
Inductive step: Suppose I is satisfiable and has $n+1$ clauses with more than 3 literals. In our construction of Satisfiability With at Most 3 Literals Per Clause, the n clauses with more than 3 literals from our inductive step will have the same construction, and will be satisfied by Satisfiability With at Most 3 Literals Per Clause, as they were satisfied in our Inductive Hypothesis. Let $C_j = (x_1, x_2, \dots x_m)$ be the remaining clause, satisfied in I, with more than 3 literals. At least one of these literals must be satisfied for C_j to be true. Based on the construction, and WLOG, if x_1 and x_2 are false, then u_1 can be set to true to satisfy the clause. Then, since C_j is satisfiable, even though $\bar{u_1}$ is false in the next clause, the literal that satisfies C_j is guaranteed to be in it. Thus, the new construction will be satisfiable, and the same literals can be used to satisfy $f(I)$. $\Leftarrow$ Suppose $f(I) = ((D_1, D_2, \dots D_k)$ with literals $(x_1, x_2, \dots x_n, u_1, \dots u_t))$ is satisfiable, and was constructed as directed above. Further suppose Clauses $D_1, D_2, \dots D_k$ were constructed based on the instructions above, as there is at least one clause C_j from I that had more than 3 literals. Thus, $D_1 = \{x_1, x_2, u_1\}$ and $D_2 = \{x_3, x_4, \bar{u_1}\}$. For $f(I)$ to be satisfiable, both $D1$ and $D2$ must be satisfiable. Since $u_1 \in D$ and $\bar{u_1} \in D_2$, u_1 can only be used to satisfy one of these clauses. Thus, one of x_1, x_2, x_3, x_4 must be true in order for D_1 and D_2 to be satisfied. Since D_1 and D_2 were constructed from the clause $C_j = \{x_1, x_2, x_3, x_4\}$, and one of these must be satisfied, C_j must be satisfied as with any other clauses with greater than 3 literals. Further, since any clauses in $f(I)$ with less than 4 literals per clause were kept identical from I, they will be satisfied as well. Thus, I is satisfiable if $f(I)$ is satisfiable and was constructed as directed above. □

5.1.7 Exact Cover $\propto$ 3-Dimensional Matching

Details Let $I = \{S_j\}$, a family of subsets. Then f will define a set $T = \{< i, j > | u_i \in S_j\}$. f will also define α, an arbitrary one-to-one function from $\{u_i\}$ into T. f will also define $\pi : T \mapsto T$, a permutation such that for each fixed j, $\{< i, j > | u_i \in S_j\}$ is a cycle of π. (i.e π creates a cycle between the elements u_i in a particular set in $\{S_j\}$. For example, if $S_2 = \{1, 2, 5\}$, π could create a cycle as follows: $(1, 2) \mapsto (2, 2) \mapsto (5, 2) \mapsto (1, 2)$). f will define a set, $U = \{\alpha(u_i), < i, j > , < i, j >> | < i, j > \in T\} \cup \{< \beta, \sigma, \pi(\sigma) > |$ for all $i, \beta \neq \alpha(u_i)\}$ Suppose there is a polynomial time algorithm for 3-D Matching, that returns solution S, such that $|S| = |T|$, and such that the elements of S are mutually exclusive at each coordinate in the elements of S. Now, $h(S)$ will take in solution S, a set representing a solution to 3-D Matching. Then h will create a set, R. h will loop through S, determining if each elements second and third coordinate pairs, $< i, j >$, and equal to each other. If they are equal, then h will add j to the set R if it is not already in R. R will be returned.

Theorem 5.7. *$\{S_j\}$ has an exact cover iff sets T and U, constructed as defined above, have a 3-D Matching. That is, there is some subset $S \subseteq U$ with $|S| = |T|$, that is mutually exlusive between the 3 element coordinate pairs.*

Proof. $\Rightarrow$ Suppose $\{S_j\}$ has an exact cover, $\{T_h\}$. That is, there is some subset, $T_h \subseteq S_j$ such that $\cup T_h = \cup S_j$, and the sets in T_h are mutually exlusive. Suppose sets T and U are constructed as defined above, with $|T| = i$, with i being the number of elements in $\cup T_h$. In our construction of U, there are two types of elements, U_m represents elements that were constructed using α, U_c represents elements that were constructed with elements of T that were not mapped to by α,

utilizing the permutation, π. Since $|T| = |S|$, each permutation of U_m and U_c must have 1 instance with a unique element in each position. For the elements in U_m, we take the element in U for each U_m that represents an element that is part of the exact cover. Since each element in S_j has a unique representation with its element value and set number, and each element u_i maps to exactly one element in T, the sets we chose in U_m must be mutually exclusive. Now, we are left with the elements in T that weren't mapped to in position 1, so if we take one element from each group in U_c, then $|S| = |T|$. Now, we just need to worry about positions 2 and 3. In positions 2 and 3, each set in $\{S_j\}$ has a cycle of our permutation between the elements representing its members. Further, since it is a cycle, each element in T will be in position 2 and position 3 exactly once for every possible element in position 1 in U_c. Further, since the cycles are only between members of the same sets in S_j, there is no concern about choosing elements from T that were already chosen in U_m. Thus, if we start at the beginning of each cycle representing a set that not in the set cover, and move through the cycle with each new element in position 1 of U_c, then every possible combination of elements representing elements not in T_h will be covered. Thus, we have a 3-Dimensional Matching for T and U. $\Leftarrow$ Suppose T and U have a 3-Dimensional Matching. That is, there is some subset $S \subseteq U$ such that $|S| = |T|$, and S is mutually exclusive over its coordinates of size 3. Further, assume T and U are constructed as defined above. Now, take U_m, representing the nodes that were constructed using α. Thus, since each node in $u_i \in \cup S_j$ maps to an element in T with α, each u_i must have successfully mapped to an element in T. Further, since U_m is constructed with $(< \alpha(u_i) >, < i, j >, < i, j >)$, where j is the set(s) i appears in in S_j, and since π, the permutation responsible for the elements in U_c, only creates cycles between elements of the same set in S_j, in order for T to be mutually exclusive there can be no elements in U_m chosen for the 3-Dimensional Matching representing the same set in S_j as an element in U_c that is chosen. Thus, since each element in U_i is represented by the elements chosen from U_m, and the sets represented by U_m do not overlap with the sets chosen from U_c, the sets represented by the elements in U_m that were chosen must form an exact cover on S_j. □

5.1.8 Satisfiability $\leq_p$ Clique

Details Let I equals Clauses $C_1, C_2, \ldots C_p$ with literals $x_1, x_2, \ldots x_n, \overline{x_1}, \overline{x_2}, \ldots \overline{x_n}$. The? function f takes the input I and convert it into a graph G = (V, E) where V = $\{< \sigma$, i> $| \, \sigma$ is a literal in $C_i\}$, E = $\{\{<< \sigma, i >, < \delta, i >>\} | \, i \neq j$ and $\sigma \neq \delta\}$ and $k = p$, the number of clauses. Suppose there is a polynomial time algorithm for the Clique problem which returns a sub-graph of G which is a k-clique. Solution S is the sub-graph of G which is a k-clique. The function h takes S as an input and transform the vertices of the solution to the literals that they represent in G.

Theorem 5.8. *Clauses $C_1, C_2, \ldots C_p$ with literals $x_1, x_2, \ldots x_n, \overline{x_1}, \overline{x_2}, \ldots \overline{x_n}$ are satisfiable if and only if there exists a graph $G = (V, E)$ with a clique of size k, as defined above.*

Proof. $\Rightarrow$ Let us assume that $\exists S, S$ is a solution to a given instance of Satisfiability. Given for every clause in C there exists at least 1 literal which satisfies $C_i \Rightarrow x_i \cap C_i \neq \emptyset$. We then construct a graph G = (V, E) where $V = \{< x, i > | x$ is a literal in $C_i\}$ and $E = \{< x, i >, < y, j > | i \neq j$ and $x \neq \overline{y}\}$ and $k = p$. According to our construction, each vertex (which represents a literal) is connected to all the other vertexes representing the literals from other clauses, except the vertexes which represents the compliment of the literal and vertexes which represents literals of the same clause. Since vertexes are not connected with their compliments in the graph, it will give us a clique of size k. $\Leftarrow$ Suppose G is a graph with K-clique constructed as directed above from the instance

I of Satisfiability problem. In the graph constructed nodes which are in the same clause(group of nodes) and nodes which represent the compliment of the graph must appear in distinct cliques and thus each of the k clauses contain exactly of the clique nodes. This assignment satisfies the Boolean formula because each group of nodes contains a clique node and thus each clause contains at least one literal which is true. □

5.1.9 Node Cover $\leq_p$ Feedback Arc Set

Details I equals a graph $G' = (N', A')$ and a positive integer ℓ. The function f takes the input I and convert it into an instance of Feedback Arc Set problem where
$V = N'x0, 1$
$E = \{<< u, 0 >, < u, 1 >> |u \in N\} \cup \{<< u, 1 >, < v, 0 >> |\{u, v\} \in E'$
$k = l$ Suppose there is a polynomial time algorithm for the Feedback Node Set problem. Let $S \subseteq E$ such that every directed cycle of H contains an arc in S and $|S| \leq k$. The function h takes S as an input and return the respective edge from G'.

Theorem 5.9. *$G' = (V', E')$ has a node cover of size l, iff there is a feedback arc set of size k on the graph, H, as defined above.*

Proof. $\Rightarrow$ Suppose there is a node cover R on the graph G' of size l. Suppose we construct the digraph H as described above. For every $v \in R$ lets remove the edge (v_0, v_i) from H. According to our construction if a cycle in H enters a vertex from v_0 can only leave through the edge (v_0, v_1) and it can only enter edge v_1 through the edge (v_0, v_1). Thus if a cycle in H uses the edge (u_1, v_0), it must use the edge (u_0, u_1) and (v_0, v_1). At least one of these two edges have been removed since at least u or v must be in the vertex cover to cover the edge $(u, v) \in E'$. which implies removing either u or v makes the graph acyclic. Thus if G has a vertex cover of a size l then H has a feedback arc set of size k. $\Leftarrow$ Suppose H has a Feedback Arc Set, S, of size k. WLOG we assume that the only edges removed are of the form (u_0, u_1) because if some other edge (u_1, v_0) is removed then all cycles in which this edge participated would have included the edge (v_0, v_1) too and we could remove this edge instead. We assume that the set if vertices $v \in V'$ for which the corresponding edge (v_0, v_1) is removed in E' forms a vertex cover for G'. Suppose there is some edge $(u, v) \in E'$ not covered. then in H the cycle $(u_0, u_1), (u_1, v_1), (v_0, v_1), (v_1, u_0)$ is unbroken by the removal of the edges contradicting the assumption. Thus G' must have a vertex cover of size $l = k$. □

5.1.10 Node Cover $\leq_p$ Feedback Node Set

Details I is a graph $G' = (N', A')$ and a positive integer ℓ. The function f takes the input I and convert it into an instance of Feedback Node Set problem where
$V = N'$
$E = \{< u, v > |\{u, v\} \in A'\}$
$k = l$ Suppose there is a polynomial time algorithm for the Feedback Node Set problem, $S \subseteq E$ such that every directed cycle of H contains a vertex in R and $|R| \leq k$. The function h takes S as an input and return the respective vertices from G'.

Theorem 5.10. *$G' = (V', E')$ has a node cover of size l, iff there is a feedback arc set of size k on the graph, H, as defined above.*

Proof. $\Rightarrow$ Let us assume there exists a vertex cover R of size $|R| \leq l$ on G'. Lets construct graph H as described above. Lets remove nodes from H which corresponds to the nodes in R, along with

the edges connected to them. For any edge $(u, v) \in A'$ in G', there will be a cycle between nodes u and v in graph H. Therefore after removing these l vertices, which were the node cover of G', and their incident edges from H and all the nodes which were connected with the removed nodes and formed cycles with the removed nodes will no longer form a cycle. Thus since removing the R nodes from H makes the graph acyclic, it proves that these nodes are the feedback node set of H. $\Leftarrow$ Assume we construct H as defined above and it has a feedback node set $|S|$ of size k, and if they are removed all the cycles in the graph break. According to our construction each pair of vertices $u, v \in G'$ that has an edge between them have a cycle between $(u, v), (v, u)$ in H. Since removal of the k vertices in the feedback node set breaks all the cycle in the digraph H, therefore for each $\{u, v\} \in G'$ S must contain either u or v (or both). Thus S is a vertex cover of G'. □

5.1.11 Node Cover $\leq_p$ Set Covering

Details I is A graph $G' = (N', A')$ and a positive integer ℓ. The function f takes the input I and convert it into a A family of sets S_j with one set for each vertex in G'. elements of S_j are defines to be the elements incident to j in G'. And $k = l$. Suppose there is a polynomial time algorithm for the Node cover problem which returns a set of vertices $R \subseteq V'$, $|R| \leq l$ and every arc in incident to a vertex in R. The solution S is a subfamily $\{T_h\} \subseteq \{S_j\}$, $|\{T_h\}| \leq k$ and $\cup T_h = \cup S_j$. The function h takes S as an input and Converts the set in $\{T_h\}$ back to their respective vertexes and puts them in a set.The set of nodes represents a Node Cover for G'.

Theorem 5.11. *$G' = (N', A')$ has a node cover of size l iff there exist a set cover of size $k = l$ on the family of sets S_j built as described above.*

Proof. $\Rightarrow$ Suppose there exists a vertex cover of size l for the graph G' and we built a family of sets $\{S_j\}$ as described above. Let R be the set of vertices representing the vertex cover for G' then the vertices in R covers all the edges in A'. Since any subset T_j is the set of edges incident on vertex j, the union of T_j such that $j \in R$ must contain all the edges in A'. Since union of all the edges in A' forms the set U, $\{T_j : j \in R\}$ is a set cover if U, with size $k = l$. $\Leftarrow$ Suppose there is a family of sets $\{S_j\}$, as described above, and there is a set cover for $\{S_j\}$ of size k. This means that there exists k sets, $\{T_h\}$, such that $\{T_h\} \subseteq \{S_j\}$ and $\cup\{T_h\} = \cup\{S_j\}$. Since the sets $\{S_j\}$ represents the nodes and the elements of the sets represents the edges, a node cover of the graph implies that each element of the graph G' is covered by the k sets or the l nodes. Thus there exist a node cover of size l in the graph G'. □

5.1.12 Directed Hamiltonian Circuit $\leq_p$ Undirected Hamiltonian Circuit

Details I is a directed graph H. The function f takes the input I and convert it into an instance of Undirected Hamiltonian Circuit where $G = (N, E)$
$N = v$ x $\{0, 1, 2\}$
$E = \{\{< u, 0 >, < u, 1 >\}, \{< u, 1 >, < u, 2 >\}$ — $u \in V\} \cup \{\{< u, 2 >, < v, 0 >\} \| < u, v > \in E\}$.
S is a cycle which covers all the nodes in G exactly once.

Theorem 5.12. *Graph H has a Directed Hamiltonian Circuit iff graph G has a Undirected Hamiltonian Circuit.*

Proof. $\Rightarrow$ Suppose H has a Directed Hamiltonian Circuit. We construct G as defined above. We replace vertex $v \in V$ with $(v, 0), (v, 1), (v, 2) \in N$. In H to travel from (v, 0) to (v, 2) you have to

go through (v, 1) and (v, 1) is connected to only (v, 0), (v, 2), further (v, 2) is connected to (u, 0) which represents the directed edge in G. Thus all vertices are visited once in the cycle. thus G has a Undirected Hamiltonian Circuit. $\Leftarrow$ Suppose G = (N, A) has a Undirected Hamiltonian Circuit. The $(u, 0)$ node can be considered as the "in-node" and the $(u, 2)$ can be considered as the "out-node". The edge between $(u, 2)$ to $(v, 0)$ in G represents a path from the node u to v in H. Nodes $(u, 0), (u, 1), (u, 2)$ in G represent the node u in H. since (u, 0) is represents the in-node and the (u, 2) represents the out node, the Directed Hamiltonian circuit in H is guaranteed to give a corresponding Undirected Hamiltonian circuit in G. □

5.1.13 Partition $\leq_p$ Max Cut

Details Given an instance I of partition, we form an instance f(I) of max cut using:

$$N = \{1, ..., s\}$$
$$A = \{\{i, j\} \mid i \in N, j \in N, i \neq j\}$$
$$w(\{i, j\}) = c_i \cdot c_j$$
$$W = \lceil \frac{1}{4} \Big(\sum c_i \Big)^2 \rceil$$

Theorem 5.13. *There is a solution for instance I of partition $\iff$ there is a solution for f(I) in max cut.*

Proof. $\Rightarrow$ Assume there is a solution for partition. Let d = $\{d_1, ..., d_n\}$ enumerate the digits in the solution, and f = $\{f_1, ..., f_m\}$ the digits in the complement. Note that $d_1 + ... + d_n = f_1 + ... + f_m = \frac{1}{2} \sum c_i$. Because our graph is totally connected, we are guaranteed a cut on our graph with weight

equal to:

$$\sum d_i(f_1 + ... + f_m)$$
$$= \sum d_i \left(\frac{1}{2}\sum c_i\right)$$
$$= (d_1)\left(\frac{1}{2}\sum c_i\right) + ... + (d_n)\left(\frac{1}{2}\sum c_i\right)$$
$$= (d_1 + ... + d_n)\left(\frac{1}{2}\sum c_i\right)$$
$$= \left(\frac{1}{2}\sum c_i\right)\left(\frac{1}{2}\sum c_i\right)$$
$$= \frac{1}{4}\left(\sum c_i\right)^2$$
$$= \left(\frac{1}{2}\sum c_i\right)^2$$
$$= \lceil * \left(\frac{1}{2}\sum c_i\right)^2 \rceil \text{ since we have a partition, } 2|\sum c_i. \text{ Note the square of an integer is an integer.}$$

Our cut can be given explicitly as $\{d_i\}$. $\Leftarrow$ Now assume that there is a max cut with weight greater or equal to W. Then there are digits $\{d_1, ..., d_n\}$ corresponding to vertices in the max cut, and $\{f_1, ..., f_m\}$ corresponding to the rest of the vertices, such that $\sum d_i(f_1 + ... + f_m) \geq W$. That is, $(d_1 + ... + d_n)(f_1 + ... + f_m) \geq W = \lceil * \left(\frac{1}{2}\sum c_i\right)^2 \rceil = \lceil * \left(\frac{1}{2}(d_1 + ... + d_n + f_1 + ... + f_m)\right)^2 \rceil$. Assume for a contradiction (and without loss of generality) that $(d_1 + .. + d_n) = (f_1 + ... + f_m) + n, n \in$.

Let $\sum d_i = d, \sum f_j = f$. Then you have:

$$\begin{aligned}\sum d_i \sum f_j &= d \cdot f \\ &= (f+n)f \\ &= f^2 + nf. \\ &\text{but notably, with that assumption we have:} \\ W &= \lceil *\frac{1}{4}(f+d)^2 \rceil \\ &= \lceil *\frac{1}{4}(2f+n)^2 \rceil \\ &= \lceil *\frac{1}{4}\left(4f^2 + 4fn + n^2\right) \rceil \\ &= \lceil *f^2 + nf + \frac{n^2}{4} \rceil \\ &> f^2 + nf \\ &\implies W > \sum d_i \sum f_j\end{aligned}$$

which of course couldn't be, since we wouldn't have a max cut. We conclude that our digits are a partition. □

5.1.14 Satisfiability $\leq_P$ 0-1 Integer Programming

Details Given an instance of Satisfiability, we form f(I) an instance of 0-1 integer programming as follows: Let the entries of C be defined so for i $\in \{1, ..., p\}$ and $j \in \{1, ..., n\}$, $c_{ij} = \begin{cases} 1 \text{ if } x_j \in C_i \\ -1 \text{ if } \bar{x}_j \in C_i \\ 0 \text{ otherwise} \end{cases}$

Let d_i = 1 - (the number of complemented variables in C_i).

Theorem 5.14. *There is a solution for an instance I of Satisfiability $\iff$ there is a solution for an instance f(I) of 0-1 Integer Programming.*

Proof. $\Rightarrow$ First assume there is a solution for an instance I of Satisfiability. In that case, let $x_j = 1 \iff x_j \in S$. We verify that for each row, $C_i \cdot x_j \geq d_i$. We do so inductively on the number of complemented variables, in a given C_i, n_i.

Base Case

Let n = 0.

If there are no complemented variables in C_i, then at least one satisfying literal, say x_j must have the value 1. In that case, $C_{ij}x_j = 1$. The rest of the products will be ≥ 0, so the sum of products is ≥ 1.

Inductive Step

Assume $C_i \cdot \vec{x} \geq 1 - n_i$ holds for $n_i \leq n$

Consider a clause C_i with n + 1 negated variables. Let f be a permutation that arranges our x_j so 1 to a are positive in the clause, a + 1 to b are negative, and b + 1 to m are those not included in the clause. Now, we write:

$$\begin{aligned}
C_i \cdot \vec{x} &= C_{if(1)}x_{f(1)} + ... + C_{if(a)}x_{f(a)} + C_{if(a+1)}x_{f(a+1)} \\
&\quad + ... + C_{if(b)}x_{f(b)} + C_{if(b+1)}x_{f(b+1)} + ... + C_{if(m)}x_{f(m)} \\
&\quad = C_{if(1)}x_{f(1)} + ... + C_{if(a)}x_{f(a)} + C_{if(a+1)}x_{f(a+1)} + ... \\
&\quad + C_{if(b-1)}x_{f(b-1)} + C_{if(b+1)}x_{f(b+1)} + ... + C_{if(m)}x_{f(m)} + C_{if(b)}x_{f(b)}.
\end{aligned}$$

we assumed that:

$$\begin{aligned}
&C_{if(1)}x_{f(1)} + ... + C_{if(a)}x_{f(a)} + C_{if(a+1)}x_{f(a+1)} + ... \\
&+ C_{if(b-1)}x_{f(b-1)} + C_{if(b+1)}x_{f(b+1)} + ... + C_{if(m)}x_{f(m)} \geq 1 - n.
\end{aligned}$$

Now we note that:

$$\begin{aligned}
&C_{if(1)}x_{f(1)} + ... + C_{if(a)}x_{f(a)} + C_{if(a+1)}x_{f(a+1)} + ... \\
&+ C_{if(b-1)}x_{f(b-1)} + C_{if(b+1)}x_{f(b+1)} + ... + C_{if(m)}x_{f(m)} + C_{if(b)}x_{f(b)}. \\
&\geq C_{if(1)}x_{f(1)} + ... + C_{if(a)}x_{f(a)} + C_{if(a+1)}x_{f(a+1)} + ... \\
&+ C_{if(b-1)}x_{f(b-1)} + C_{if(b+1)}x_{f(b+1)} + ... + C_{if(m)}x_{f(m)} + (-1) \text{ (since } C_{if(b)}x_{f(b)} \text{ is at least -1)} \\
&\geq (1 - n) - 1 \text{ (from our assumption)} \\
&= 1 - (n + 1) \\
&\implies C_i \cdot \vec{x} \geq 1 - (n + 1).
\end{aligned}$$

Thus our instance of 0-1 Integer Programming is satisfied.

$\Leftarrow$ Now assume that our instance of 0-1 I.P. has a solution, $\vec{x}$. We verify first that there are no contradicting literals, which is immediately true since the literal assignments are encoded with binary values. Next we verify that for each C_i, $C_i \cap S \neq \emptyset$. Assume this is not true. Then for some C_i, $C_i \cap S = \emptyset$. Let n be the number of negative literals in C_i. Since $C_i \cap S = \emptyset$, we do not have a satisfying literal for the clause. Then every positive literal appearing in the clause must be assigned false, and every false literal that appears in the clause must have been assigned true. Recall that for $C_{ij} = \begin{cases} 1 \text{ if } x_j \in C_i \\ -1 \text{ if } \bar{x}_j \in C_i \\ 0 \text{ otherwise} \end{cases}$ from before. Because the variable assignment comes from $\vec{x}$, and using the row in our matrix corresponding to our unsatisfied C_i, we have: $C_{ij}x_j = \begin{cases} 0 & \text{if } C_{ij} = 1 \\ -1 & \text{if } C_{ij} = -1 \\ 0 & \text{if } C_{ij} = 0 \end{cases}$.

Then $C_i \cdot \vec{x} = -n$, which is strictly less than $(1 - n) = d_i$. But then our inequality does not hold! We conclude that we have a solution for Satisfiability. $\square$

5.1.15 Chromatic Number $\leq_p$ Exact Cover

Details Given an instance I of chromatic number, f(I) goes as follows: The set of elements is defined as

$$N \cup A \cup \{(u, e, f) \mid \text{ u is incident with e and } 1 \leq f \leq k\}$$

The sets S_j are equal to:

$\{U_i\} \cup \{E_h\}$
where U_i, E_h are defined as:
$U_i = \{\{u\} \cup \{(u, e, f)\} \mid 1 \leq f \leq k, u \in N, \text{ e is incident with u}\}$
$E_h = \{\{e\} \cup \{(u, e, f) \mid f \neq f_1\} \cup \{(v, e, f) \mid f \neq f_2\} \mid e \in A, f_1, f_2 \in \mathbb{Z}_k, f_1 \neq f_2$ and u, v are adjacent with e.$\}$

Given a solution x = $\{T_j\}$ to exact cover, we define h(x) as follows: For n $\in N$, if n has no edges let $\phi(n) = 0$. If n does have an edge, choose $\phi(n)$ to be f, where $\{n\} \cup \{(n, e, f)\}$ is included in the exact cover. Note that this must exist, or we would not have a complete cover of the nodes.

Theorem 5.15. *There is a solution for an instance I of chromatic number $\iff$ there is a solution for f(I) in exact cover.*

Proof. $\Rightarrow$ Assume that you have a solution, ϕ, for chromatic number. We generate an exact cover as follows:

$$\bigcup_{n \in N} A_n \cup B_n$$

where A_n and B_n are defined as:
$A_n = \{\{n\} \cup \{(n, e, \phi(n)) \mid \text{ e is an edge of n}\}\}$
$B_n = \{\{e\} \cup \{(n, e, f) \mid f \neq \phi(n)\} \cup \{(v, e, f) \mid f \neq \phi(v)\} \mid \phi(n) > \phi(v)$ and n, v are connected by edge e.$\}$

Note that $A_n \in \{U_i\}$ by construction. Further since we require $\phi(v) \neq \phi(n)$ for any v adjacent to n, the B_n are guaranteed to be in $\{E_h\}$. What's more, each (n, e, f) exists in exactly one set from our requirement that $\phi(n) > \phi(v)$ and the construction requirement that $f \neq \phi(n), g \neq \phi(v)$. In addition to the $\{(n, e, f)\}$, we find that the nodes and edges are covered by one set in our constructed cover (if you are unsure for the edges, recall our restriction that $\phi(n) > \phi(v)$). Thus we have an exact cover for our elements! $\Leftarrow$ Assume that our instance in exact cover has a solution, $\{T_j\}$. Let u and v be adjacent vertices in N, connected by edge e $\in E$. To cover our u, v elements we must have $\{u, (u, e, m)\}, \{v, (v, e, n)\} \in \{T_j\}$. Assume for a contradiction that m = n, or from our definition of ϕ that $\phi(u) = \phi(v)$. Assume WLOG that $\{e\} \cup \{(u, e, f)\} \cup \{(v, e, g)\}, f \neq m, g \neq k$ for some $k \neq m$, is included to cover e. We verify that this is WLOG by noting that the only other option is $\{e\} \cup \{(u, e, f)\} \cup \{(v, e, g)\}, f \neq k, g \neq m$ for some $k \neq m$. But then we must include another set like $\{v, (v, e, k)\}$ to cover (v, e, k), and then we have no exact cover.
Then $\phi(u) \neq \phi(v)$ as desired. $\square$

5.1.16 Satisfiability with at Most 3 Literals Per Clause $\leq_p$ Chromatic Number

Details Given an instance I of Satisfiability With at Most 3 Literals Per Clause, we form an instance f(I) of Chromatic number as follows: Assume WLOG that m ≥ 4. Let

$$\begin{aligned}
N &= \{u_1, ..., u_m\} \\
&\cup \{\bar{u}_1, ..., \bar{u}_m\} \\
&\cup \{v_1, ..., v_m\} \\
&\cup \{D_1, ..., D_r\} \\
A &= \{\{u_i, \bar{u}_i\} \mid i = 1, ..., n\} \\
&\cup \{\{v_i, v_j\} \mid i \neq j\} \\
&\cup \{\{v_i, u_j\} \mid i \neq j\} \\
&\cup \{\{v_i, \bar{u}_j\} \mid i \neq j\} \\
&\cup \{\{u_i, D_f\} \mid u_i \notin D_f\} \\
&\cup \{\{\bar{u}_i, D_f\} \mid \bar{u}_i \notin D_f\} \\
k &= m + 1
\end{aligned}$$

Theorem 5.16. *There is a solution for an instance I of Satisfiability With at Most 3 Literals Per Clause $\iff$ there is a solution for f(I) in chromatic number.*

Proof. First we acknowledge our assumption that m ≥ 4. If this is not the case, our satisfiability problem is bounded by a polynomial time solving algorithm.
$\Rightarrow$ Assume you have a solution for Satisfiability With at Most 3 Literals Per Clause, S. Let J $= S \cup \{u_i \mid \bar{u}_i \notin S\}$. We construct the m + 1 equivalence classes as follows: for each $j \in J$, $[j] = \{v_j, u_j\} \cup \{D_i \mid j = max(\{j \in J \mid u_j \in D_i\})\}$. There are m such classes, because J has m elements. Let the last equivalence class ($[m+1]$) consist of all of the remaining vertices. This guarantees a partition on our set of vertices. We now confirm that $\phi : \mathbb{Z}_{m+1} \to V$ where $\{1, ..., m+1\}$ enumerates our equivalence classes, and $\phi(k)$ is equal to the equivalence class enumerated by k, is a solution for chromatic color. Given any of the $[j]$ classes, we know that v_j and u_j are not adjacent from construction. Furthermore, if there are any D_i in the equivalence classes, then we must have $j \in D_i$, so again from construction they cannot be adjacent. We now consider the equivalence class $[m+1]$. Because we have a Satisfiability With at Most 3 Literals Per Clause solution, this will not contain any of the D_i. To see why, note that we constructed our equivalence class to ensure that every D_i corresponds to exactly one of the $[j]$ classes. Our $[m+1]$ class cannot contain v_j from our choice of equivalence classes. The literal vertices are not connected to each other, so we are guaranteed an independent set. We have verified our solution for chromatic color.
$\Leftarrow$ Now assume you have a solution for chromatic number. Define S $= \{u_j \mid \phi(u_j) = \phi(D_i)\} \cup \{\bar{u}_j \mid \phi(\bar{u}_j) = \phi(D_i)\}$ for any of the D_i vertices in our graph. It's quick to check that there is a literal from each clause in S, so we verify that there are no complementary literals. Assume without loss of generality that $u_j \in S$. Then $\phi(u_j) = \phi(D_i)$ for some D_i in our graph. For a contradiction, assume that $\bar{u}_j$ is also in S. In that case, one of the D_i have to be colored with our leftover color class $[m+1]$ from the m v_i vertices. For each pair of literals, one of them has to be in the $[m+1]$ class. Each literal in the $[m+1]$ expression must appear in the expression, or they would be adjacent to the D_i vertex. If we have more than 3 literals, this becomes a contradiction from the construction of our graph. □

5.1.17 Knapsack $\leq_p$ Sequencing

Details Given an instance of knapsack, produce an instance of sequencing as follows: Let p = r, $T_i = P_i = a_i$, $D_i = b$, and $k = \sum a_i - b$.
Given a solution π for sequencing, choose the first n values for which $a_{\pi(1)} + ... + a_{\pi(n)} = b$. Let $x_{\pi(1)}, ..., x_{\pi(n)} = 0$, and the remaining $x_{\pi(n+1)}, ..., x_{\pi(r)} = 0$.

Theorem 5.17. *There is a solution $(x_1, ..., x_r)$ for knapsack $\iff$ there is a solution π for our instance of Sequencing.*

Proof. $\Rightarrow$ Assume there is a solution for knapsack. Choose a permutation π so that $a_\pi(1) + ... + a_\pi(n) = b$. Then the first n penalties are not counted. The sum is reduced to $\sum_{j=n+1}^{r} a_j = \sum a_i - b$. Then there is a solution for our instance of sequencing. $\Leftarrow$ Now assume that there is a solution for sequencing. That is, $\exists\ \pi$ so $\sum a_i - b \geq \sum \begin{cases} a_{\pi(j)} \text{ if } a_{\pi(1)} + ... + a_{\pi(j)} > b \\ 0 \text{ otherwise} \end{cases}$ We consider two cases for this, mostly due to index gymnastics. If $a_{\pi(1)} + ... + a_{\pi(j)}$ is never greater than b, then $\sum a_i - b \geq 0$. Since b is never larger than our partial sum, we have

$$\begin{aligned} \sum a_i - \sum a_i &= 0 \\ &\geq \sum a_i - b \\ &\geq 0 \end{aligned}$$

Thus $\sum a_i = b$. Otherwise, we have

$$\begin{aligned} & \sum a_i - b \geq a_{\pi(n+1)} + ... + a_r \\ \implies & \sum a_i - \left(a_{\pi(n+1)} + ... + a_r\right) \geq b \\ & \leq a_{\pi(1)} + ... + a_{\pi(n)} \\ \implies & a_{\pi(1)} + ... + a_{\pi(n)} = b. \end{aligned}$$

. We have found a subset of our a_i which sum to b, as we originally sought. □

5.1.18 Knapsack $\leq_p$ Partition

Details Given an instance I of knapsack, we form an instance f(I) of partition as follows:

$$\begin{aligned} s &= r + 2 \\ c_i &= a_i;\ i = 1, ..., r \\ c_{r+1} &= b + 1 \\ c_{r+2} &= \sum a_i + 1 - b \end{aligned}$$

Given a solution I of knapsack, we define h(I) as follows. If $c_{r+2} \in I$, then let $X = I$. Otherwise, let $X = I^C$. Then

$$x_i = \begin{cases} 1 & \text{if } c_i \in X \\ 0 & \text{otherwise} \end{cases}$$

and h(I) = $\{x_i\}$.

Theorem 5.18. *There is a solution $h(I) = (x_1, ..., x_r)$ for an instance I of Knapsack $\iff$ there is a solution $I \subseteq \{1, ..., r+2\}$ for f(I) in partition.*

Proof. There is a solution for Knapsack $\iff$ some subset of the a_i, let's assume they are indexed by K, sum to b. This is true exactly when

$$\begin{aligned} c_{r+2} + \sum_{k \in K} a_k &= \sum a_i + 1 - b + \sum_{k \in K} a_k \\ &= \sum a_i + 1 - b + b \\ &= \sum a_i + 1. \end{aligned}$$

but we also have:

$$\begin{aligned} c_{r+1} + \sum_{j \notin K} a_j &= b + 1 + \sum_{j \notin K} a_j \\ &= \sum_{k \in K} a_k + 1 + \sum_{j \notin K} a_j \\ &= \sum a_i + 1 \end{aligned}$$

Which is true $\iff$ we have a partition on our set, formed by $K \cup \{r+2\}$. □

5.1.19 Node Cover $\leq_p$ Directed Hamilton Circuit

Details Given an instance ((N', A'), l) of node cover, we form a directed graph as follows: without loss of generality, assume that A' = $\mathbb{Z}_m$. Now, let

$$V = \{a_1, ..., a_l\} \cup \{(u, i, \alpha) \mid u \in N' \text{ is incident with i } \in A' \wedge \alpha \in \{0, 1\}\}.$$

The a_i correspond to the total nodes we will allow in our node cover solution. Define the edges as:

$$\begin{aligned} E = &\{< (u,i,0), (u,i,1) > | \ (u,i,0) \in V\} \\ &\cup \{< (u,i,\alpha), (v,i,\alpha) > | \ i \in A', \text{ u and v are incident with i, } \alpha \in \{0,1\}\} \\ &\cup \{< (u,i,1), (u,j,0) > | \ i \leq j, \text{ u is incident with i and j, and } \nexists h, i < h < j, \\ &\quad \text{where u is incident with h.}\} \\ &\cup \{< (u,i,1), a_f > | \ 1 \leq f \leq l \wedge \nexists h > i \text{ so that u is incident with h}\} \\ &\cup \{< a_f, (u,i,0) > | \ 1 \leq f \leq l \wedge \nexists h < i \text{ so that u is incident with h}\} \end{aligned}$$

Given a solution $\{e_1, e_2, ..., e_m\}$ (where m = $|V|$) for our instance of Directed Hamiltonian Circuit, the set $\{u \mid < a_f, (u,i,0) > \in \{e_1, ..., e_n\}\}$ is a node cover for G'.

Theorem 5.19. *There is a node cover $\{v_1, ..., v_l\}$ for G' $\iff$ There exists a Directed Hamilton Circuit in our instance.*

Proof. $\Rightarrow$ Let $\{v_1, ..., v_l\}$ be a node cover for G'. Pick an onto mapping from $\{v_i\}$ to $\{a_j\}$ so we may index them together. Now fix an a_i, v_i pair. Let $\{e_1, ..., e_n\}$ be the set of edges between v_i and any x_j in our graph. Construct a path from $(v_i, e_1, 0)$ to $(v_i, e_n, 1)$ as follows: start at $(v_i, e_j, 0)$. If the other end of e_j is in $\{v_i\}$ simply move $(v_i, e_j, 0) \to (v_i, e_j, 1) \to (v_i, e_{j+1}, 0)$. Note that those nodes

will be addressed later when we get to their corresponding a_i in the path. Otherwise, let x_i be the other node adjacent to e_j and move $(v_i, e_j, 0) \to (x_j, e_j, 0) \to (x_j, e_j, 1) \to (v_i, e_j, 1) \to (v_i, e_{j+1}, 0)$. Glue together the previously described path and the path $a_i \to (v_i, e_1, 0)$, as well as $(v_i, e_n, 1) \to a_{i+1(mod\ l)}$. This must cover every node in our graph by construction, and is a cycle. $\Leftarrow$ Assume there is a Directed Hamiltonian Circuit in our instance. Let v_i be the set of vertices labeling the nodes that each of the a_i point to. Note these are unique, as we have a Directed Hamiltonian Circuit. Now assume BWOC that there is some a, b $\in G'$ where $a, b \notin \{v_i\}$ have an edge between them. Let the edge between them be n. This introduces the nodes (a, n, 0), (a, n, 1), (b, n, 0), and (b, n, 1). There is no way to reach these vertices from our other circuit, however, since the n edge is not shared with any of the $\{v_i\}$ and the $\{a_i\}$ are already accounted for. Then there must be no vertices in G' that are both not in $\{v_i\}$ and share an edge. We conclude that $\{v_i\}$ is a vertex cover for G'. □

5.1.20 Exact Cover $\leq_p$ Steiner Tree

Details Given an instance, I, of Exact Cover, form an instance f(I) of Steiner Tree as follows:

$$
\begin{aligned}
k &= |\{u_i\}| \\
N &= \{n_0\} \cup \{S_j\} \cup \{u_i\} \cup \{u_i^*\} \\
R &= \{n_0\} \cup \{u_i\} \\
A &= \{\{n_0, S_j\}\} \cup \{\{S_j, u_i\} \mid u_i \in S_j\} \cup \{\{u_i, u_i^*\}\} \\
w(\{n_0, S_j\}) &= |S_j| \\
w(\{S_j, u_i\}) &= k + 1 \\
w(\{u_i, u_i^*\}) &= -(k + 1)
\end{aligned}
$$

Given a solution x = T = (N_T, E_T) for our instance of Steiner Tree, define h(x) as $\{S_j\} \cap N_T$.

Theorem 5.20. *There is a solution for an instance I of exact cover $\iff$ there is a solution for f(I) in Steiner tree.*

Proof. $\Rightarrow$ Assume there is some $\{T_j\}$ which is an exact cover for $\{u_i\}$. Construct a tree of height three as $n_0 \to T_j$ for each T_j, $T_j \to u_i$ for each $u_i \in T_j$, and u_i to u_i^* for each $u_i \in T_j$. Since $\{T_j\}$ is an exact cover, there are no distinct T_j, T_k pointing to the same u_i and certainly no distinct u_i, u_h point to the same u_f^*. Then we have a tree. Now note that since the $\{T_j\}$ cover our set, each of the required u_i are included in our tree. The T_j form a partition on our set, so $\sum |T_j| = |\{u_i\}|$. In that case, $\sum_{T_j} w(\{n_0, T_j\}) = k$. Now note that each u_i is accessible from a single edge from one of the T_j we have selected. Those paths have weight k + 1, which we offset by using the path with weight -(k + 1) to u_i^*. The total weight on our tree is k. $\Leftarrow$ Assume you have a solution for f(I) in Steiner Tree. To access the u_i, we must come from a set node, say T_k. To access T_k, we may either "hop" over from a distinct u_j also in T_k that was accessed from a distinct T_h, or directly from n_0. We rule out the first option, since such a traversal would add a net (k + 1) weight to our tree. In that case, each u_i must be accessed from a T_h connected to n_0. Now assume for a contradiction that there is some u_i in $T_m, T_n, n \neq m$. Since every u_i is in one T_p, $\sum |T_p| \geq |u_i|$, but if we have a repeat $\sum |T_p| > |u_i|$. Then we do not have a solution for our instance of Steiner Tree. We conclude that each u_i exists in a unique T_p. □

5.1.21 Exact Cover $\leq_p$ Knapsack

Details I is A family $\{S_j\}$ of subsets of the set $\{u_1, u_2, \ldots u_t\}$. The function f takes the input I and convert it into an instance of Knapsack where
$d = |S_j| + 1$
$\varepsilon_{ij} = \begin{cases} 1 \text{ if } u_i \in S_j \\ 0 \text{ if } u_i \notin S_j \end{cases}$
$a_j = \sum \varepsilon_{ji} d^{i-1}$
$b = \dfrac{d^t - 1}{d - 1}$ Suppose there is a polynomial time algorithm for the Knapsack problem which returns a 0-1 solution for $\sum a_j x_j = b$.

Theorem 5.21. *S_j has an Exact Cover iff $(a_1, a_2, \ldots a_r, b)$, constructed as stated above, has a $0-1$ solution for $\sum a_j x_j = b$*

Proof. $\Rightarrow$ Suppose there is a solution $T_h \subseteq S_j$ for an instance I of exact cover. Based on our construction for knapsack as defined above, for all $u_i \in S_j$, $a_j = \sum(|S_j + 1|)^{i-1}$ and $b = \dfrac{d^t - 1}{d - 1}$. Suppose there is a 0-1 solution for our constructed instance of Knapsack such that for any $a \in a_j$ that represents the corresponding $t \in T_h$, then $\sum a_j x_j = \Sigma_{i=1}^{t}(|S_j + 1|)^{i-1} = \dfrac{(|S_j + 1|)^t - 1}{(|S_j + 1|) - 1}$. As defined above $d = |s_j|+$ thus $\sum a_j x_j = \sum d^{i-1} = \dfrac{d^t - 1}{d - 1}$. expanding the left hand side of the equation we get $(d^{1-1} + d^{2-1} \cdots + d^{t-1}) = \dfrac{d^t - 1}{d - 1}$.By multiplying the equation with $(d - 1)$, we get $(d - 1)(d^{1-1} + d^{2-1} \cdots + d^{t-1}) = d^t - 1$ on solving we get $d - 1 = d - 1$, thus there exists a 0-1 solution for Knapsack. $\Leftarrow$ Suppose there is a 0-1 Knapsack solution for Knapsack for $x \in x_j$ such that $\sum a_j x_j = b$, where $\sum a_j x_j$ and b are as defined above. As per our construction only one $a_j \in a_j$ represents an element $u_i \; in S_j$. Therefore for there to be a 0-1 solution for Knapsack each $u_i \; in S_j$ must appear only once in $\cup T_h$ and if each set in T_h is disjoint and $\cup S_j = \cup T_h$ then by definition T_h is an exact cover of S_j. □

5.2 Problem Statements

Satisfiability
Input: Clauses $C_1, C_2, \ldots C_p$ with literals $x_1, x_2, \ldots x_n, \overline{x_1}, \overline{x_2}, \ldots \overline{x_n}$.
Property: $C_1 \wedge C_2 \wedge \cdots \wedge C_p$ is *satisfiable*: if $\exists S \subseteq \{x_1, x_2, \ldots x_n, \overline{x_1}, \overline{x_2}, \ldots \overline{x_n}\}$ such that if $x_i \in S$ then $\overline{x_i} \notin S$, and if $\overline{x_i} \in S$ then $x_i \notin S$ and $S \cap C_j \neq \emptyset$, $\forall j \in \{1, 2, \ldots p\}$

0-1 Integer Programming
Input: A integer matrix C and an integer vector $\vec{d}$
Property: there is a 0-1 vector $\vec{x}$ such that $C\vec{x} \geq \vec{d}$

Clique
Input: A graph G and a positive integer k
Property: G has a clique of size k

Set Packing
Input: A family of sets $\{S_j\}$ and a positive integer ℓ
Property: $\{S_j\}$ has ℓ mutually exclusive sets.

Node Cover (Vertex Cover)
Input: A graph $G' = (N', A')$ and a positive integer ℓ
Property: $\exists R \subseteq N'$ such that $|R| \leq \ell$ and every arc in incident to a vertex in R.

Set Covering
Input: A finite family of sets $\{S_j\}$ and a positive integer k
Property: there exists a subfamily $\{T_h\} \subseteq \{S_j\}$, $|\{T_h\}| \leq k$ and $\cup T_h = \cup S_j$

Feedback Node Set
Input: A directed graph $H = (V, E)$ and a positive integer k
Property: there exists $R \subseteq V$ such that every directed cycle of H contains a vertex in R and $|R| \leq k$

Feedback Arc Set
Input: A directed graph $H = (V, E)$ and a positive integer k
Property: there exists $S \subseteq E$ such that every directed cycle of H contains an arc in S and $|S| \leq k$

Directed Hamiltonian Circuit
Input: A directed graph H
Property: H has a directed cycle which includes each vertex exactly once.

Undirected Hamiltonian Circuit
Input: A graph G
Property: G has a cycle which includes each vertex exactly once.

Satisfiability With At Most Three Literals Per Clause
Input: Clauses $D_1, D_2, \ldots D_r$, each consisting of at most 3 literals from $\{u_1, u_2, \ldots u_m, \overline{u_1}, \overline{u_2}, \ldots \overline{u_m}\}$
Property: $\{D_1, D_2, \ldots D_r\}$ is satisfiable

Chromatic Number
Input: A graph $G = (N, E)$ and a positive integer k
Property: there is a function $\phi : N \to \mathbb{Z}_k$ such that if u and v are adjacent then $\phi(u) \neq \phi(v)$

Clique Cover
Input: A graph $G' = (N', E')$ and a positive integer ℓ
Property: N' is the union of ℓ or fewer cliques

Exact Cover
Input: A family $\{S_j\}$ of subsets of the set $\{u_1, u_2, \ldots u_t\}$
Property: there is a subfamily $\{T_h\} \subseteq \{S_j\}$ such that $\{T_h\}$ are disjoint and $\cup T_h = \cup S_j = \{u_1, u_2, \ldots u_t\}$

Hitting Set
Input: A family $\{U_i\}$ of subsets of $\{s_1, s_2, \ldots s_r\}$
Property: there is a set W such that for each i, $|W \cap U_i| = 1$

Steiner Tree
Input: A graph $G = (N, A)$, $R \subseteq N$, a weighting function $w : A \to \mathbb{Z}$ and a positive integer k
Property: G has a subtree of weight $\leq k$ containing the set of vertices in R

3-Dimensional Matching
Input: $U \subseteq T \times T \times T$ where T is a finite set
Property: there is a set $W \subseteq U$ such that $|W| = |T|$ and no two elements of W agree in any coordinate

Knapsack
Input: $(a_1, a_2, \ldots a_r, b) \in \mathbb{Z}^{r+1}$
Property: $\sum a_j x_j = b$ has a 0-1 solution

Job Sequencing
Input: $(T_1, T_2, \ldots T_p) in \mathbb{Z}^p$, the execution time, $(D_1, D_2, \ldots D_p) in \mathbb{Z}^p$, the deadline, $(P_1, P_2, \ldots P_p) in \mathbb{Z}^p$, the penalty and a positive integer k
Property: there is a permutation, π of $\{1, 2, \ldots p\}$ such that

$$k \geq \begin{cases} P_{\pi(j)} & \text{if } T_{\pi(1)} + \cdots + T_{\pi(j)} > D_{\pi(j)} \\ 0 & \text{otherwise} \end{cases}$$

Partition
Input: $(c_1, c_2, \ldots c_s) \in \mathbb{Z}^s$
Property: there is an $I \subseteq \{1, 2, \ldots s\}$ such that $\sum_{h \in I} c_h = \sum_{h \notin I} c_h$

Max Cut
Input: A graph $G = (N, A)$, a weight function $w : A \to \mathbb{Z}$ and a positive integer W
Property: $\exists S \subseteq N$ such that $\sum_{\{u,v\} \in A, u \in S, v \notin S} w(\{u, v\}) \geq W$

5.3 Corrections on Original Reductions

5.3.1 Corrections

We have made notable corrections and adjustments to Karp's work in the following areas. For several problems the exact reduction provided was not correct. Accordingly we have sub-divided our corrections into issues with problem statements or with reductions. Note that further modifications were made on syntax on several problems as we saw fit.

1. **Problem Statements**
   (a) 0-1 Integer Programming
      i. we found the original 0-1 Integer Programming specifications to be inadequate for the reduction supplied. We modified the problem in our NP-Complete subset to use the inequality version of 0-1 Integer Programming, which allows for a clean reduction from Satisfiability into 0-1 Integer programming.
   (b) Feeback Node Set
      i. In the original problem statement for Feedback Node Set, it is not stated that $|R| \leq k$.
   (c) Feedback Arc Set
      i. In the original problem statement for Feedback Arc Set, it is not stated that $|S| \leq k$.
   (d) Knapsack
      i. A small typo was corrected to say $(a_1, a_2, ..., a_r, b) \in \mathbb{Z}^{r+1}$.
2. **Reductions**
   (a) Exact cover to Steiner Tree
      i. This was the largest correction we made to a reduction. In the original paper, the reduction provided has a counter example given by:

$$S_1 = \{1, 5\}$$
$$S_2 = \{1, 3\}$$
$$S_3 = \{1, 2\}$$
$$S_4 = \{2, 3\}$$
$$S_5 = \{2, 5\}$$
$$S_6 = \{3, 4\}$$

      We present a modified reduction for exact cover to Steiner Tree.

(b) 3-Satisfiability to Chromatic Number

   i. There were minor transcription errors on this reduction.

(c) Exact Cover to 3-Dimensional Matching

   i. In our paper, we assumed a value for σ that was sufficient for the reduction. It was not originally specified.

(d) Knapsack to Sequencing

   i. The value for k was significant to this reduction, and not previously provided.

(e) SAT to 0-1

   i. A minor labeling error (b_i vs. d_i) was corrected.

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